



ALABAMA DEPARTMENT OF HUMAN RESOURCES REQUEST FOR PROPOSALS

PROCUREMENT INFORMATION	
RFP Number: GB2025-400-01	RFP Title: Adult Day Care Services
Proposal Due Date and Time: June 20, 2025 12:00 p.m., Central Time	Number of Pages: 41
Procurement Officer: Tina Limbaugh, Program Manager Phone: (334) 242-1650 Email: sdhr.procurement@dhr.alabama.gov Website: http://www.dhr.alabama.gov	Issue Date: May 2, 2025
	Issuing Division: Adult Protective Services
INSTRUCTIONS TO VENDORS	
Submit Proposal Electronically to: Tina Limbaugh, Program Manager Resource Management Division/SDHR Procurement Alabama Department of Human Resources Email: sdhr.procurement@dhr.alabama.gov	Label Submission: RFP Title/Number: GB2025-400-01 Adult Day Care Services Proposal Due Date: June 20, 2025
	Special Instructions:
VENDOR INFORMATION	
(Fill in the information fields below and return this form with RFP electronic response)	
Vendor Name/Address: (no P.O. Boxes)	Authorized Vendor Signatory:
	(Please print name and sign in ink)
Vendor Phone Number: ()	Vendor FAX Number: ()
Vendor Federal I.D. Number:	Vendor E-mail Address:
Total number of proposal pages: _____	
Trade Secret Declarations: (reference section/page(s) of trade secret declarations)	

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VENDOR'S RFP CHECKLIST

1. _____ **Read the *entire* document.** Note critical items such as: mandatory requirements; supplies/services required; submittal dates; licensing requirements; contractual requirements (i.e., contract performance security, insurance requirements, performance and/or reporting requirements, etc.).
2. _____ **Note the procurement officer's name, address, phone numbers and e-mail address.** This is the only person you are allowed to communicate with regarding the RFP.
3. _____ **Attend the pre-proposal conference** if one is offered. These conferences provide an opportunity to ask clarifying questions, obtain a better understanding of the project, or to notify the Department of any ambiguities, inconsistencies, or errors in the RFP.
4. _____ **Take advantage of the “question and answer” period.** Submit your questions to the procurement officer by the due date listed in the Schedule of Events and view the answers given in the formal “addenda” issued for the RFP. All addenda issued for an RFP are posted on the Department’s website at www.dhr.alabama.gov and will include all questions asked and responses concerning the RFP.
5. _____ **Follow the format required in the RFP** when preparing your response. Provide point-by-point responses to all sections in a clear and concise manner.
6. _____ **Provide complete answers/descriptions.** Read and answer **all** questions and requirements. Don’t assume the Department or evaluation committee will know what your company’s capabilities are or what items/services you can provide, even if you have previously contracted with the Department. The proposals are evaluated based solely on the information and materials provided in your response.
7. _____ **Use the forms provided**, i.e., cover page, budget forms, certification forms, etc.
8. _____ **Check the Department’s website for RFP addenda.** It is the vendor’s responsibility to check the Department’s website at www.dhr.alabama.gov for any addenda issued for this RFP, no further notification will be provided.
9. _____ **Review and read the RFP document again** to make sure that you have addressed all requirements. Your original response must be complete.
10. _____ **Submit your response on time.** Note all the dates and times listed in the Schedule of Events and within the document and submit all required items on time. Late proposal responses are *never* accepted.

This checklist is provided for assistance only and should not be submitted with Vendor’s response.

VENDOR'S DOCUMENT CHECKLIST

1. _____ **RFP COVER SHEET with signature of person legally authorized to bind vendor to proposal**
2. _____ **Appendix F (Cost Proposal)**
3. _____ **Disclosure Statement (Appendix A)**
4. _____ **W-9 and Legal Status Letter or Taxpayer ID # (Appendix B)**
5. _____ **Trade Secret Affidavit (Appendix C)**
6. _____ **Certificate of Compliance (Appendix D)**
7. _____ **Immigration Status Form (Appendix E)**
8. _____ **E-Verify (MOU)**
9. _____ **Resumes/Job Descriptions for All Employee Levels assigned to this RFP**
10. _____ **Start-up Plan must have a Detailed Schedule Submitted**
11. _____ **A copy of the Holidays (max 13)**
12. _____ **Day Care Operation Hours & Schedule**
13. _____ **Complete the Attestations and Declarations for Provisions of Services**

This checklist is provided for assistance only and should not be submitted with Vendor's response.

SCHEDULE OF EVENTS

The following RFP Schedule of Events represents the Department's best estimate of the schedule that will be followed. Unless otherwise specified, the time of day for the following events shall be 12:00 p.m., Central Time. The Department reserves the right, at its sole discretion, to adjust this schedule, as it deems necessary. Notification of any adjustment to the Schedule of Events shall be posted on the Department's website at www.dhr.alabama.gov as detailed in Section 1.5.3 of this RFP. Vendors should refer to the website periodically for changes to the RFP.

EVENT	DATE
RFP Issue Date.....	May 02, 2025
Deadline for Receipt of Written Questions	May 16, 2025
Deadline for Posting of Written Responses to Questions	May 23, 2025
Electronic Proposal Submission.....	June 20, 2025

SECTION 1: PROJECT OVERVIEW AND INSTRUCTIONS**1.0 PROJECT OVERVIEW**

The ALABAMA DEPARTMENT OF HUMAN RESOURCES, (hereinafter referred to as “the Department” and “DHR”) seeks qualified vendors to provide Adult Day Care Services. Adult Day Care offers supervised care in a protective setting for adults who need supervision and/or care outside of the home for a portion of a 24-hour day. A more complete description of the services sought for this project is provided in Section 3, Scope of Project. Proposals submitted in response to this solicitation must comply with the instructions and procedures contained herein.

The number of slots available for allocation are:

- 340 Full Time with Transportation Slots
- 15 Full Time without Transportation Slots
- 44 Part Time with Transportation Slots
- 8 Part Time without Transportation Slots

1.1 ELIGIBLE ENTITIES

Eligible entities may include governmental agencies, faith-based organizations, non-governmental public or private organizations and individuals who: 1) are legally authorized to conduct business within the State of Alabama; 2) possess a high degree of professional skill in the area of service described in this document; 3) possess the skills needed to perform the services described in this RFP; and, 4) meet the terms and conditions of the RFP. In addition, applicants must demonstrate the ability to manage Department funds in accordance with Federal and State regulations and guidelines.

1.2 REQUIRED LICENSURE/CERTIFICATION/CREDENTIAL

There are no licensure, certification or credential requirements for this procurement.

1.3 CONTRACT TERM

The initial contract period for this procurement is for three years beginning *October 1, 2025, and ending September 30, 2028*. Renewals of the contract, as agreed upon by both parties, may be made at one-year intervals, or any interval that is advantageous to the Department, not to exceed a total of *two (2)* years, at the option of the Department. *Selected vendors must be fully operational on October 1, 2025.*

1.4 POINT OF CONTACT

From the date this Request for Proposal (RFP) is issued until the vendor selection is announced, all communication must be directed to the procurement officer in charge of this solicitation. **Vendors must not communicate with any Department staff or officials regarding this procurement except for the procurement officer.** Any unauthorized contact will disqualify the vendor from further consideration. Contact information for the point of contact is as follows:

Tina Limbaugh, Program Manager
SDHR Procurement, Resource Management Division
Telephone Number: (334) 242-1650
E-mail Address: sdhr.procurement@dhr.alabama.gov

1.5 REQUIRED REVIEW

1.5.1 REVIEW RFP

Vendors should carefully review the instructions, mandatory and general requirements, project specifications, and the standard terms and conditions in this RFP. After a thorough review of the RFP, if the vendor identifies any ambiguity, inconsistency, unduly restrictive specifications, or error, promptly notify the procurement officer identified above in writing, via e-mail or courier by the deadline for receipt of questions as stated in the Schedule of Events.

1.5.2 VENDOR'S QUESTIONS

Vendors with questions or requiring clarification regarding any section of this RFP must reference the RFP by title and number and submit written questions via e-mail to the procurement officer referenced above by 12:00 p.m. (CST) May 16, 2025. Each question must reference the section, page, and item in question. Vendors must submit all questions posed in a single email message to the procurement officer. Questions received after the deadline will not be considered.

1.5.3 DEPARTMENT'S RESPONSES

The Department will provide an official written answer by May 23, 2025, to all questions received by the deadline on May 16, 2025. The Department's response will either provide clarification of the applicable issue or be in the form of a correction to this RFP. Vendor questions and the Department's responses, as well as any formal written addendum will be posted on the Department's website at www.dhr.alabama.gov by the close of business on the date listed.

1.6 MANDATORY REQUIREMENTS

Vendors are expected to respond to all the requirements described in this document. The Department will determine whether a vendor's proposal meets the terms of the requirements. Proposals that do not meet all requirements listed in this RFP may be subject to point reductions during the evaluation process or may be deemed non-responsive. Proposals that do not meet the requirements 1.6.1 through 1.6.6 will be deemed non-responsive and no other consideration will be given. **DO NOT ALTER ANY OF THE FORMS LISTED BELOW OR OTHERWISE INCLUDED/REQUIRED IN THIS DOCUMENT.**

1.6.1 W-9 LEGAL STATUS LETTER OR TAXPAYER IDENTIFICATION NUMBER FORM

Vendors must include a legible copy of their legal status letter from the Internal Revenue Service. If the legal status letter is not available, a completed and signed copy of the **"Request for Taxpayer Identification Number"** form (*Appendix B*) must be included.

1.6.2 DISCLOSURE STATEMENT

Act 2001-955 requires the disclosure statement to be completed and filed with all proposals, bids, contracts, or grant proposals to the State of Alabama in excess of \$5,000. Disclosure Statements are available for completion on the Attorney General's web site at www.ago.alabama.gov under *Publications and Forms*. Vendors may also click on the following links for a copy of the Disclosure Statement: (online fill-in) <http://www.ago.alabama.gov/File-AL-Vendor-Disclosure-Statement> when connected to the internet. Vendors must include a completed copy of the Disclosure Statement in their proposals.

1.6.3 CERTIFICATE OF COMPLIANCE

Vendors must submit a completed, signed copy of the certificate of compliance (*Appendix D*) with their proposals.

1.6.4 E-VERIFY DOCUMENTATION

Vendors must submit E-Verify memorandum of understanding/registration documentation with their proposals.

1.6.5 IMMIGRATION STATUS FORM

Vendors must submit immigration status form documentation with their proposals.

1.6.6 AUTHORIZED VENDOR SIGNATORY

Vendors must provide an original proposal using the format described, with an original signature of person(s) legally authorized to bind the applicant to the proposal.

1.7 GENERAL REQUIREMENTS

1.7.1 ACCEPTANCE OF STANDARD TERMS AND CONDITIONS

By submitting a response to this RFP, vendors agree to acceptance of the standard terms and conditions of this RFP. Requests for additions or exceptions to the standard terms and conditions including any necessary licenses, or any added provisions must be submitted to the procurement officer by the due date for receipt of written questions and must be accompanied by an explanation of why the exception is being sought and what specific effect it will have on the vendor's ability to respond to the RFP or perform the contract.

Note: The Department reserves the right to not enter into a new contract with a current vendor who is under a corrective action plan until all corrective requirements have been met.

1.7.2 UNDERSTANDING OF SPECIFICATIONS AND REQUIREMENTS

By submitting a response to this RFP, vendors agree to an understanding of and compliance with the specifications and requirements described in this RFP.

1.7.3 PRIMARY VENDOR/SUBCONTRACTORS

The primary vendor if a contract is awarded shall be responsible, in total, for all work of any subcontractors. All subcontractors, if any, must be listed in the proposal. The vendor shall not assign, transfer, or subcontract any portion of the contract without the written consent of the Department. The vendor shall be responsible to the Department for the acts and omissions of all subcontractors or agents and of persons directly or indirectly employed by such subcontractors, and for the acts and omissions of persons employed directly by the vendor. Any awards made as a result of this document will create a contractual relationship between the vendor and the Department, not the subcontractor.

1.7.4 VENDOR'S SIGNATURE

An individual authorized to legally bind the organization submitting the proposal must sign the original proposal. The vendor's signature on a proposal in response to this RFP guarantees that the offer has been established without collusion that would preclude the Department from obtaining the best possible service for the best possible price.

1.8 ELECTRONIC SUBMISSION OF PROPOSAL

1.8.1 REQUIRED ELECTRONIC COPY AND DEADLINE FOR RECEIPT OF PROPOSALS

Vendors must submit **one (1)** electronic proposal, with the RFP cover sheet completed with Vendor's name, the RFP title, RFP number, and authorizing signatures to:

Tina Limbaugh, Program Manager
Resource Management Division/Office of Procurement
Alabama Department of Human Resources
sdhr.procurement@dhr.alabama.gov

Proposals must be received electronically to the Office of Procurement by 12:00 p.m., CST.

1.8.2 FAILURE TO COMPLY WITH INSTRUCTIONS

Vendors failing to comply with these instructions may be deemed non-responsive or subject to point deductions. The Department may also choose to not evaluate and disqualify from further consideration any proposals that do not follow the format described in Section 4: *Proposal Format and Instructions*, are difficult to understand or read, or are missing any requested information.

1.8.3 BUDGET RATE

Vendors *must* respond to this RFP by utilizing the Forms in Section 5.

1.8.4 TIMELY SUBMITTED PROPOSALS

All timely submitted proposals and materials received in response to this RFP become the property of the Department and will be incorporated into any formal documentation and/or contract resulting from this RFP between the Department and the Vendor.

1.8.5 LATE PROPOSALS

Regardless of the cause, late proposals will not be accepted and will automatically be disqualified from further consideration. It shall be the Vendor's sole risk to assure electronic delivery by the designated date and time.

SECTION 2: STANDARD INFORMATION**2.0 AUTHORITY**

This RFP is issued under the authority of Section 41-4-123 of the Alabama Code (Act 2001-956, 2001 3rd Sp. Sess., p 817, §1.). Any awards resulting from this RFP will be made based on stated evaluation criteria. The weight assigned to each criterion denotes the relative importance of that criterion. No criteria, other than that identified in this RFP, will be used.

A bona fide prospective bidder or offeror who is aggrieved in connection with the solicitation of a contract may protest to the Chief Procurement Officer within 14 days of the date of issuance of the solicitation or any amendment to it, if the amendment is at issue.

2.1 VENDOR COMPETITION

In accordance with 45 CFR 74.43, the Department encourages free and open competition among Vendors. Whenever possible, the Department will design specifications, proposal requests, and conditions to accomplish this objective, consistent with the necessity to satisfy the Department's need to procure technically sound, cost-effective services and supplies.

2.2 NONDISCRIMINATION

In accordance with 45 CFR 74.44, no person shall be excluded from participation in, be denied benefits of, be discriminated against in the admission or access to, or be discriminated against in treatment or employment in the Department's contracted programs or activities on the grounds of handicap and/or disability, age, race, color, religion, sex, national origin, political beliefs or any other classification protected by Federal or Alabama State Constitutional or statutory law; nor shall they be excluded from participation in, be denied benefits of, or be otherwise subjected to discrimination in the performance of contracts with the Department or in the employment practices of the Department's contractors. Accordingly, all Vendors entering into contracts with the Department shall, upon request, be required to show proof of such nondiscrimination and to post in conspicuous places, available to all employees and applicants, notices of nondiscrimination.

2.3 CERTIFICATE OF COMPLIANCE AND E-VERIFY

Only U.S. citizens or foreign citizens who have the necessary authorization to legally work in the United States may be employed to work under any contract with the Department. Vendors must agree to not knowingly employ, hire for employment, or continue to employ an unauthorized alien, and must provide to the Department a certificate of compliance with the Beason-Hammon Alabama Taxpayer and Citizen Protection Act (*Appendix D*).

The United States Citizenship and Immigration Services (www.uscis.gov) provides E-Verify, an internet-based system that allows companies to determine their employees' eligibility to work in the United States. Vendors must participate in the E-Verify program and verify every employee that is required to be verified according to the applicable federal rules and regulations. Vendors must provide documentation to the Department establishing that they are enrolled in the E-Verify program.

Vendors must agree to not knowingly employ, hire for employment, or continue to employ a subcontractor to perform work under a contract that knowingly employs, hires for employment, or continues to employ an unauthorized alien. Any subcontractor hired to perform work under a contract must attest to such by sworn affidavit signed before a notary. Subcontractors must also enroll in the E-Verify program prior to performing any work on a project and must attach to the sworn affidavit documentation establishing that the subcontractor is enrolled in the E-Verify program.

2.4 NO BOYCOTT CLAUSE

In compliance with Act 2016-312, vendors must attest that it is not currently engaged in, and will not engage in, the boycott of a person or an entity based in or doing business with a jurisdiction with which this state can enjoy open trade.

Vendor hereby verifies that it, without violating controlling law or regulation, does not and will not, during the term of the contract, engage in economic boycotts as the term “economic boycott” is defined in Section 1 of Act 2023-409.

2.5 TERMINATION/ALTERNATIVE RESOLUTION

For any and all disputes arising under the terms of any contract resulting from this procurement, vendors hereto agree, in compliance with the recommendations of the Governor and Attorney General, when considering settlement of such disputes, to utilize appropriate forms of non-binding alternative dispute resolution including but not limited to, mediation by and through the Attorney General’s Office of Administrative hearings or where appropriate, private mediators.

2.6 MERIT SYSTEM EXCLUSION

The vendor is not to be considered a merit system employee and is not entitled to any benefits of the State Merit System.

2.7 IMMIGRATION CLAUSE

By responding to this procurement, the vendor affirms, for the duration of any contract resulting from this procurement, that they will not violate federal immigration law or knowingly employ, hire for employment, or continue to employ an unauthorized alien within the State of Alabama and any other location. Furthermore, a contracting party found to be in violation of this provision shall be deemed in breach of the contract and shall be responsible for all damages resulting therefrom. (Appendix E)

2.8 PROPOSAL EFFECTIVE PERIOD

All proposals submitted in response to this RFP shall be effective for a 180-day period following the deadline for receipt of proposals as specified in the Schedule of Events and may not be modified, withdrawn, or canceled by the Vendor during this period.

2.9 TRADE SECRETS

As part of the initial evaluation, the procurement officer in charge of this solicitation will review proposals received in response to this RFP, for any information deemed a “trade secret” by the vendor and separate identified parts of proposal from public viewing providing the following conditions have been met: (1) confidential information is clearly marked and separated from the rest of the proposal; (2) the proposal does not contain confidential material in the cost or price section; and (3) an affidavit from a Vendor’s legal counsel attesting to and explaining the validity of the trade secret claim is attached to each proposal containing trade secrets. If applicable, the Vendor’s Legal Counsel must use the Department of Human Resources “Affidavit for Trade Secret Confidentiality” form when requesting the trade secret claim. The affidavit form (*Appendix C*) is included in this document.

Information separated out under this process will be available for review only by the procurement officer, the evaluation committee members, and limited other designees. Vendors must be prepared to pay all legal costs and fees associated with defending a claim for confidentiality in the event of a “right to know” (open records) request from another party.

2.10 PRE-SCREENING AND EVALUATION OF PROPOSALS

2.10.1 PRE-SCREENING

During the pre-screening, proposals will be reviewed to ensure compliance with all general and mandatory requirements. Upon completion of this initial review, they will be classified “responsive” or “non-responsive”.

However, proposals may be found non-responsive at any time during the evaluation process if the proposal does not meet the formatting requirements specified in the RFP; if any of the required information is not provided; or the submitted price is found to be excessive or inadequate as measured by criteria stated in the RFP. Proposals declared non-responsive, will not receive further consideration.

2.10.2 EVALUATION OF PROPOSALS

All responsive proposals will be evaluated against the stated criteria. However, this does not preclude the Department from any discussions/negotiations or requests for a best and final offer that it may deem necessary to assist in determining the Vendor. In scoring against stated criteria, the Department may consider such factors as accepted industry standards and a comparative evaluation of all other qualified RFP responses in terms of differing price, quality, and contractual factors to determine the most advantageous offering to the Department.

2.11 DISCUSSION/NEGOTIATION AND/OR ORAL PRESENTATION/PRODUCT DEMONSTRATION

After receipt of all proposals and prior to the determination of the award, the Department may initiate discussions with one or more Vendors should clarification or negotiation be necessary. Vendors may also be required to make an oral presentation and/or product demonstration to clarify their RFP response or to further define their offer. In either case, Vendors should be prepared to send qualified personnel to **Montgomery, Alabama**, to discuss technical and contractual aspects of the proposal. Oral presentations and product demonstrations, if requested, shall be at the Vendor's expense.

2.12 BEST AND FINAL OFFER

The Department reserves the right to request a "best and final offer" for this RFP based on price/cost alone, from one or more Vendors if additional information is required to make a final decision. Vendors may be contacted asking that they submit their "best and final offer," which must include any and all discussed and/or negotiated changes.

2.13 PUBLIC REQUESTS FOR INFORMATION

All information received in response to this RFP, including copyrighted material, is deemed public information and will be made available to the public upon requests shortly after the signing of a contract(s) with the exception of: (1) trade secrets meeting the requirements of the Alabama Trade Secrets Act, that have been properly marked, separated, and documented; and (2) any financial information requested by the Department, unless prior written consent has been given by the Vendor.

2.14 COST OF PREPARING A PROPOSAL

The Department is not liable for any expense incurred by the Vendor in the preparation and presentation of their proposal, or any other costs incurred by the Vendor prior to execution of a contract. All costs are the responsibility of the Vendor.

2.15 DEPARTMENT'S RIGHTS RESERVED

While the Department has every intention to award a contract as a result of this RFP, issuance of the RFP in no way constitutes a commitment by the Department to award and execute a contract. Upon determination such actions would be in its best interest, the Department, in its sole discretion, reserves the right to the following:

2.15.1 PRE-SELECTION DISCRETION

The Department reserves the right at its sole discretion, at any time and for any reason, to reject any or all of the proposals submitted in response to this RFP, or to cancel this RFP, if it is deemed by the Department to be in its best interest to do so.

2.15.2 POST-SELECTION DISCRETION

Upon selection of a proposal, the Department reserves the right, at its sole discretion, at any time and for any reason, to change its decision with respect to the selection and to select another proposal, or to cancel the RFP, if it is deemed by the Department to be in its best interest to do so.

2.15.3 WAIVERS

Notwithstanding the amendment provisions otherwise set forth herein, the Department reserves the right at its sole discretion, to waive any minor irregularity in an otherwise valid proposal which would not jeopardize the overall program and to award a contract on the basis of such a waiver in the event the Department determines that such award is in the best interest of the Department. Minor irregularities are those which will not have a significant adverse effect on the overall program cost or performance.

2.15.4 NEGOTIATIONS

The Department reserves the right to negotiate with any vendor whose proposal is within the competitive range, as specified in the RFP with respect to technical plan and cost, as well as to select a vendor other than the vendor offering the lowest price.

2.15.5 ADOPTION OF IDEAS

The Department reserves the right to adopt to its use all or any part, of a vendor's proposal and to use any idea or all ideas presented in a proposal.

2.15.6 ORAL PRESENTATIONS

The Department reserves the right to require some or all of the vendors to provide oral presentations of their proposals.

2.15.7 AMENDMENTS

The Department reserves the right to amend the RFP. Except as provided above with respect to "WAIVERS" made by the Department, all amendments to the RFP will be made by written addendum issued by the Department and posted to the web at www.dhr.alabama.gov under this RFP link.

2.15.8 NO GUARANTEE OF CONTRACT

Selection of a proposal shall not be binding upon the Department and may or may not, at the Department's sole discretion, result in the Department entering a contract with the vendor if it is in the best interest of Department not to proceed with contract execution.

2.15.9 RIGHT TO INVESTIGATE AND REJECT

The Department may make investigations as deemed necessary to determine the ability of the Vendor to perform the services specified. The Department reserves the right to reject any proposal if the evidence submitted by, or investigation of, the Vendor fails to satisfy the Department that the Vendor is properly qualified to carry out the obligations of the contract. This includes the Department's ability to reject the proposal based on negative references.

2.15.10 DISCLAIMER

Issuance of this RFP does not constitute a commitment by the Department to select any proposal submitted in response to the RFP, or to award a contract to any vendor who responds to this RFP

SECTION 3: SCOPE OF PROJECT**3.0 SCOPE OF PROJECT**

Adult Day Care offers supervised care in a protective setting for adults who are in need of supervision and/or care outside of the home for a portion of a 24-hour day.

3.1 POPULATION TO BE SERVED

Adult Day Care Services are for individuals 18 years of age or older who are at risk of abuse, neglect, exploitation, or institutionalization.

3.2 SERVICE LOCATION

Adult Day Care services must be provided in approved facilities that meet the requirements as specified in the Adult Day Care Minimum Standards. Facilities must be in the State of Alabama. Vendors must identify the counties served, the service type, and number of clients to be served in each service type.

3.3 PROGRAM REQUIREMENTS FOR ADULT DAY CARE SERVICES

The program service requirements listed below must be met and the services must be provided by all Adult Day Care Vendors.

3.3.1 DOCUMENTATION

Vendors must comply with documentation requirements for the provision of Adult Day Care Services. The requirements include but are not limited to:

- A. Completion of daily attendance records.
- B. Completion of a written weekly plan which will outline the activities.
- C. Completion of a written assessment on each client's physical, social, and emotional adjustment to be completed within 30 days of enrollment.
- D. Completion of quarterly assessments on each client.
- E. Completion of a six-month summary containing the client's name, DHR case number, eligibility status, DHR office authorizing service, progress since last six-month report and recommendations.

Failure to comply with the above requirements may result in an adjustment being made and/or termination of the contract.

3.3.2 OPERATING SCHEDULE

Vendors must provide a regular daily routine in accordance with the physical, mental, and emotional needs of the adults in care. Vendors must ensure:

- A. The center will be open a minimum of eight hours daily. This will include time periods for staff- directed activities, free time, meals, and snacks. The program will provide day care 8 hours per day, from 7:30a.m. to 3:30 p.m. *Attach a copy of the daily operating schedule.*
- B. Schedule will include periods for both indoor and outdoor activities.
- C. Meals and snacks will be spaced at time intervals to accommodate the needs of adults being served.
- D. Activity periods will be sequenced and timed to accommodate individual needs of the adults being served.
- E. Staff planning and familiarity with the operating schedule will provide for adults to move smoothly from one activity period to the next.

- F. The adults or caretaker relatives will be advised of the holiday schedule at the time of admission to the program and again one-week prior to the holiday.
- G. The number of holidays must not exceed 13 days per year. *Attach a copy of holidays.*

3.3.3 EMERGENCY AND DISASTER PLANNING

Vendors must make provision for emergency and disaster planning for DHR day care clients in accordance with Alabama Act# 2006-559. Allowed closures include unanticipated circumstances resulting from inclement weather (tornado, flood, snow, etc.) or equipment breakdown (air-conditioning, furnace, water pipes, etc.)

3.3.4 FACILITY

Vendors must provide a safe, clean, and orderly environment that allows opportunities for a variety of learning experiences and encourages socialization and involvement in the program. In addition, Vendors are required to:

- A. Plan the day care facility in such a manner that program activity objectives will be reinforced, and relevant information will be communicated to participants.
- B. Ensure that the facility will meet all applicable Alabama health and fire safety standards.
- C. Ensure that the State Fire Marshal and the local Health Department inspect the facility for compliance with such standards prior to program occupation of the facility. Inspection results must be posted in a prominent place in the facility. The facility must be re-certified yearly by the State Fire Marshal or local fire department and the local Health Department. Procedures for building evacuation must be posted. All staff must be familiar with such procedures.
- D. Ensure that both, the indoor and outdoor areas, equipment and furnishings must be clean and free of undesirable, hazardous, or unsanitary material and conditions.
- E. Make adequate provisions for the safety and comfort of every adult. The facility must not have any barriers which would prevent services to handicapped individuals and must be accessible to the handicapped in the following respects: Elevators must be accessible to individuals in wheelchairs, bathroom doors must be wide enough for accessibility, and ramps must be provided at entrances.
- F. Ensure that the facility shall have at least 35 square feet of activity floor space per day care participant excluding offices and halls.
- G. Ensure that the facility will be clean and inviting. The space will be properly ventilated and well lighted. At least one area will be large enough to allow all participants to meet comfortably at one time.
- H. Ensure that temperature of the facility will be maintained at a degree comfortable for the client. An inside room thermometer will be available. All heating and cooling equipment must be adequately protected so that participants cannot come in direct contact with them.
- I. Ensure that bathrooms will be located conveniently for participants. At least one toilet and one lavatory will be available for each 15 persons. Regardless of enrollment, a minimum of two restrooms will be available.
- J. Ensure that every bathroom door is designed to permit opening of the locked door from the outside in an emergency. The opening device shall be readily accessible to the staff.
- K. Ensure that bathrooms are furnished with necessary personal supplies (toilet paper, paper towels, soap,

etc.). Reserve some washcloths and cloth towels for use in emergencies.

- L. Ensure that ground or first floor space will be used for client activity areas and required bathrooms.
- M. Ensure that any area where steps are located have safety rails for participants.
- N. Ensure that office space will be provided for storage of records and to provide privacy for conferences.
- O. Ensure that floors will be of nonskid material and free of dampness and odors. All rugs will be nonskid.
- P. Ensure that windows and door areas will be screened if used for ventilation.
- Q. Ensure that space will be available to isolate a sick or upset participant temporarily. This space will provide privacy for the participant but will be in an area where staff may readily monitor the isolated individual. The arrangement of curtains or movable screens used to section off part of an activity area is not acceptable as isolation space.
- R. Ensure that the facility will provide areas identified for different activities (i.e., rest, reading, games, workshop, etc.). These areas must be arranged in such a manner to allow for maximum independent action in order that participants may move about and choose activities, as they are capable without staff assistance.
- S. Ensure that equipment and facility supplies will include a variety of materials to stimulate individual interest and encourage group activity, such as table games, magazines, books, puzzles, etc.
- T. Ensure that facility furnishings will be of sufficient variety to assure the comfort and to meet the physical needs of all day care participants. Seating will be available for each participant.
- U. Ensure that an adequate number of chairs, tables, dishes, and utensils will be available to accommodate total group mealtime and ongoing planned activities. Upholstered seating as well as table chairs will be available.

3.3.5 PROGRAM CONTENT

Vendors must provide a program which meets the needs and interests of the (day care) group as identified through client input and individual needs assessments. Vendors must:

- A. Provide opportunities for day care clients to participate in program planning.
- B. Provide opportunities for each adult to increase to their maximum potential, their abilities to function in the areas of daily living and self-care.
- C. Provide a variety of individual and group activities directed toward the above-stated goals. Activities must require some active participation by the participants in day care, not just television watching.
- D. Develop a written weekly plan describing daily activities during operating hours.
- E. Ensure that the weekly plan will provide all adults the opportunity to participate in a minimum of five hours daily of planned activities. (This does not include lunch, breaks or free time.)
- F. Provide information and activities related to:

1. Nutrition.
 2. Health.
 3. Recreational/leisure time activities appropriate for adults.
 4. Daily living skills applicable to age group, economic situation and existing handicaps.
 5. Physical exercises.
 6. Education topics such as current events, history, and government for example.
- G. Provide a variety of opportunities for group socialization.
- H. Involve clients in activities, which assist the individual in maintaining, improving or preventing further deterioration of physical capabilities.
- I. Ensure that the center will have space where participants may rest quietly and have equipment that will adequately serve that purpose. Efforts must be made to meet individual needs regarding time to rest.

3.3.6 NUTRITION

Vendors must increase clients' knowledge about proper nutrition, food preparation, importance of eating regularly, importance of eating a balanced and medically appropriate diet, etc. Vendors must maintain and increase physical and /or mental functioning through the provision of nutritious and medically appropriate meals. Vendors must maintain or increase social or emotional functioning through provisions of meals in a relaxed atmosphere which encourages opportunities for interaction/socialization. In addition, Vendors must ensure that:

- A. Staff will be observant for opportunities to discuss food, food preparation, good nutrition and eating habits.
- B. Each client in full-time care will receive a quantity and quality of food, which meets one-third of adult daily nutritional requirements. If clients are in day care for more than four hours, the meal will be supplemented by one of more snacks per day.
- C. Each client in part-time care will receive the same meal or snack provided clients in full-time care if he/she attends the center during a regularly scheduled meal or snack time. (All part- time clients will have access to at least one meal or snack).
- D. A variety of foods will be served in an attractive manner.
- E. Weekly menus will be prepared one week in advance and posted so that clients and visitors may view them.
- F. Mealtime will be a period of promoting meaningful staff/client and client/client interaction. Staff will be encouraged to eat with clients. Socialization should also be encouraged.
- G. The local Health Department will approve all food preparation facilities and any resource from which food is delivered. If the center prepares food, the approval will be posted, along with the Health Department's Food Permit to serve food.
- H. Special diets will be accommodated. Medical information will be on file in the client record to document medical instructions for special diet.

3.3.7 HEALTH

SECTION 3: SCOPE OF PROJECT

Vendors must identify special health needs or existing health problems. Vendors must provide for staff persons trained in first aid procedures to be available at the center during program hours. Vendors must include day to day observation of each adult's general health as an ongoing staff responsibility. Vendors must seek out community health resources available to meet client group needs. Vendors must also ensure each client's access to assistance in seeking out resources for individual health needs. In addition, Vendors must ensure that:

- A. Staff will daily observe each adult for indications of new health problems.
- B. Advance arrangements will be made for action to be taken in medical situations. If there are symptoms of communicable disease, the sick participant will be isolated.
- C. Information will be on file in each adult's record regarding the person to be notified in an emergency situation, client's physician, address and phone number, client's diagnosis and other pertinent health problems.
- D. A report on the physical status of the participant and a plan of care will be maintained on file at the program.
- E. Concerns raised by observation related to an adult's mental, physical or emotional health will be noted in the case record and brought to the immediate attention of the County DHR social worker.
- F. DHR will be advised of recommendations concerning continued participation in day care based upon staff observations of the client's mental, physical, or emotional health.
- G. All suspected abuse, neglect or exploitation will be immediately reported to the County Department of Human Resources, by phone or in person; a written report will follow within five days.
- H. Staff will use universal precautions and will be trained in procedures.
- I. Regular health screening (minimum of once per month) shall be provided each participant. Reports shall be maintained in the client's file.
- J. A first aid kit and a telephone will be available in the facility.
- K. Program staff will not administer medications; however, water or fruit juice can be provided to help in swallowing.
- L. Temperature in the refrigerator will be kept below 50° Fahrenheit. Milk and other perishable foods must be kept in the refrigerator except during the time of preparation and serving.
- M. Garbage and rubbish will be stored in containers with tight fitting covers. Garbage must be removed from the building daily and the garbage cans must be washed and sanitized frequently.
- N. Drinking water will be from a source approved by the Public Health Department and supplied by sanitary means. It will be located in or near the rooms usually occupied by participants.

3.3.8 SOCIAL SERVICES

Vendors must provide for ongoing assessment of each client's physical, social and emotional adjustment to identify changing needs. Vendors must assure client access to appropriate resources if supplemental services are necessary to meet special needs. In addition, Vendors must ensure that:

- A. Quarterly assessments will reflect staff observations of each client's participation and adjustment to the program. Staff must document identified special needs and any follow-up action planned or taken.
- B. The county DHR worker will be recognized as the primary case manager for all clients for whom DHR is purchasing care.

3.3.9 TRANSPORTATION

Vendor must provide a safe, dependable means of transportation for the Department of Human Resources clients for whom the Department provides transportation payment. Vendors must notify the Department of Human Resources of any transportation problems that affect the client's ability to attend daycare. Vendors must also ensure that:

- A. The driver transporting day care clients will have a valid Alabama Driver's license.
- B. The vehicle used for transporting clients will be safe and in good working condition.
- C. All passengers will use safety belts when the vehicle is in motion.
- D. All passengers will enter and leave the vehicle from the curbside.
- E. The driver will wait until the client enters the building, the client's home or the center.
- F. The number of passengers will be limited to the capacity of the vehicle and the type of license held by the driver.
- G. All doors will be locked whenever the vehicle is in motion.
- H. The driver will ensure that all clients have exited the vehicle prior to parking or storing.
- I. The vehicle must have current State of Alabama required liability insurance.

3.3.10 STAFFING PATTERNS

The program must at a minimum, maintain the following number of staff who are directly involved with clients during hours of program operation. *This number is in addition to a program director.*

<u>Program Enrollment</u>	<u>Staff</u>
1-10	1
11-25	2
26-35	3
36-43	4

For every eight (8) additional participants, vendors must add one (1) additional staff member. Vendors must also ensure that:

- A. At least two staff will be at the center during periods when clients are present. At least one of the staff present in the center at all times will be CPR certified and trained in first aid.
- B. Auxiliary staff (kitchen/maintenance personnel, bookkeepers, etc.) whose primary responsibilities do not require direct involvement with clients will not be counted toward staff ratio requirements unless their job descriptions specify time periods when they have responsibility only for working with the clients.

3.3.11 STAFF

Vendors must ensure that for each position, the job responsibilities, educational, and experience requirements are appropriate and met. Vendors must also ensure that:

- A. Two staff persons are CPR and first aid certified with at least One of the two on Duty at all times.
- B. Each employee has a physical examination completed within three (3) months prior to employment and every two years thereafter. A copy must be filed in the personnel records. This will show all staff to be free of contagious disease and physically capable of meeting the responsibilities of their position. Volunteers counted as replacements for hired staff must also meet these requirements.
- C. A nurse, LPN or RN will be available to provide clients a monthly health screening.
- D. All staff and volunteers will be emotionally and physically fit to care for persons who have physical and/or mental limitations. They must be understanding, accepting, of even temperament, have common sense, and a sense of humor.
- E. All staff and volunteers must have a criminal history background check including fingerprinting. The results of the background must be acceptable as required for the position.
- F. All staff and volunteers must complete the Alabama Elder in Need of Protective Services Abuse Registry Clearance using form, (DHR-APS-2270). This completed form shall be submitted by the applicant for clearance to apsregistrv@dhr.alabama.gov or can be mailed to PO Box 304000, Montgomery, Alabama 36130-4000. (Any Current employees or volunteers will have till January 1, 2026, to have this completed. All new employees or volunteers starting after October 1, 2025, must have this completed prior to start date).
- G. All staff and volunteers must complete the Alabama Department of Human Resources Child Abuse/Neglect Central Registry Clearance using form, (DHR-FCS-1598). This completed form shall be submitted to State of Alabama DHR, Office of Child Protective Services, CA/N Central Registry, 50 N. Ripley Street, Montgomery, Alabama 36130. (Any Current employees or volunteers will have till January 1, 2026, to have this completed. All new employees or volunteers starting after October 1, 2025, must have this completed prior to start date).
- H. All staff and volunteers must be either citizens of the United States or are in proper and legal immigration status that authorizes them to be employed for pay within the United States.
- I. All staff must report suspected abuse, neglect, or exploitation of any day care client and cooperate in any investigation.

3.3.12 ADMISSION CRITERIA

Vendor services are predicated upon the receipt of a referral from a local County Department of Human Resource certifying individual's current eligibility. If vendor accepts a referral from the County Department of Human Resources, vendor must have an acceptance service plan and be able to provide services to the client within five (5) working days.

3.3.13 ASSESSMENT OF REFERRALS

If the vendor cannot accept a referral and provide service within five working days, the vendor should notify the local County Department of Human Resources referring social worker in writing of the referral's rejection.

3.3.14 INVESTIGATIONS

Cooperation and assistance in any investigations of compliance including allegations of abuse, neglect, or

exploitation are required. All positions employed as a result of this RFP are mandated reporters of adult abuse, neglect, and exploitation and must report all such concern to the local County Department of Human Resources.

3.3.15 REPORTS

Vendors must send Client Data, New Invoices, and Corrected Invoices by the 5th of every month in the prescribed format assigned by SDHR-Resource Management to resourcemanagement.invoiceprocessing@ahr.alabama.gov.

Vendors must send County Department of Human Resources the DHR SSC 1428 - Adult Chronic Absenteeism Reporting Form timely.

SECTION 4: PROPOSAL FORMAT AND INSTRUCTIONS**4.0 PROPOSAL REQUIREMENTS**

Proposals must meet all requirements as specified in this RFP. Proposals must incorporate the specified structure and format; must be clear, detailed, and complete as to fully demonstrate that the prospective Vendor has a thorough understanding of the requirements for providing the services for this procurement. Statements that the Vendor understands, acknowledges, or can comply with the requirements/specifications and statements paraphrasing the specifications are considered inadequate as are phrases such as “industry standards will be adhered to” and/or “standard procedures will be implemented”, or “research-based models will be used”. Proposals must clearly and adequately describe the concepts and methodologies to be implemented by the Vendor. Information must be clear, succinct, and easily understandable.

4.1 COMPLETENESS OF PROPOSALS

Selection(s) and award(s) will be based on the Vendor’s proposal and other items described in this RFP. Proposals should not include references to information located elsewhere, such as Internet websites. Information or materials presented by Vendors outside the formal response or subsequent discussion/negotiation or “best and final offer,” if requested, will not be considered, will have no bearing on any award, and may result in the vendor being disqualified from further consideration.

All boxes must be checked to correspond with your answers. Read the 2025 Adult Day Care Services RFP and the 2025 Adult Day Care Services Vendor Proposal Documents carefully, and provide additional documentation as specified.

4.2 PROPOSAL FORMAT

Proposals must be single-spaced, incorporating 1-inch margins, typed using Times New Roman (font), size 12, and black print. Paragraphs must be double-spaced. All proposals must correspond with the bolded sections and subsections (titles and numbers) to which the information pertains as specified in Section 4 of this document. **Proposals that do not adhere to the specified format may be deemed non-responsive.**

4.2.1 COVER SHEET

The first page of each proposal must be the completed **Cover Sheet** (RFP cover sheet) with the signature of the person(s) legally authorized to bind the vendor to the proposal. **Proposals without signatures of persons legally authorized to bind the vendor to the proposal will be rejected.** The cover sheet must also include the name of the contact person and contact information of the person authorized to act on behalf of the vendor (do not number this page). Vendors must also provide their federal employer identification number.

4.2.2 TABLE OF CONTENTS

The Cover Sheet should be followed by the “**Table of Contents**”, which should list all sections, subsections, and page numbers. Numbering of the proposal pages should begin with page 1 of the Table of Contents. Page numbers should be placed in the right corner of the bottom margin.

4.2.3 W-9 AND THE LEGAL STATUS LETTER/CP575 OR TAXPAYER IDENTIFICATION NUMBER

The Table of Contents must be followed by a copy of W-9 and the **Legal Status Letter** or a **CP575**. *These forms are issued by the IRS and denote an organization’s legal status (i.e., non-profit, for-profit, corporation, etc.) and include the Federal Employee Identification Number (FEIN).* If neither the Legal Status Form nor the CP575 are available, a completed and signed copy of the “**Request for Taxpayer Identification Number**” form (*Appendix B*) must be included. All items on this form must be completed.

4.2.4 LICENSES/CERTIFICATES/CREDENTIALS

The W-9 and Legal Status Form or Request for Taxpayer Identification Number Form should be followed by a copy of all required **Licenses, Certificates, and Credentials** or a copy of a completed license application form submitted by the deadline specified in this RFP.

4.2.5 TECHNICAL PROPOSAL

Copy of Licenses/Certificates/Credentials, if applicable should be followed by the **Technical Proposal**.

4.2.5.1 VENDOR QUALIFYING INFORMATION

4.2.5.1.1 *Vendor Profile and Experience*

Vendor must specify how long it has been in the business of providing services like those requested in this RFP and under what company name. Also list all names it has used when conducting business. Vendors must explain their expertise or history in the provision of such services or identify a nationally recognized model that has proven to be successful that will be used in the provision of services under this RFP. Vendors must provide an organizational profile including number of employees, and form of business (e.g. individual, sole proprietor, corporation, non-profit corporation, limited liability company.).

4.2.5.1.2 *Past and Present Contractual Relationships with the Department*

Vendors must describe any past or present contractual relationship it may have or have had with the Department or any other state agency including colleges/universities during the past three years. If the vendor, its predecessor, or any party named in their responses to this section has contracted with any department within State Government during the past three years, identify the contract number and other information available to identify such contract(s). If no such contracts exist, so declare. If any party named in the vendor's response to this RFP was an employee of the State in the past two years, identify the individual(s) by name, agency by which employed, job title of position held, and separation date during the two-year period. If no such relationship exists, so declare.

4.2.5.1.3 *Contract Performance*

If the vendor, or any proposed Subcontractor, has had a contract terminated for default during the past five years, all such instances must be described as discussed below. Termination for default is defined as notice to stop performance delivery due to the vendor's nonperformance or poor performance and the issue was either (a) not litigated due to inaction on the part of the vendor; or (b) litigated and such litigation determined the vendor to be in default. Submit full details of all terminations for default experienced by the vendor during the past five years, including the other party's name, address, and telephone number. Present the vendor's position on the matter. The Department will evaluate the facts and may, at its sole discretion, reject the vendor's Proposal if the facts discovered indicate that completion of a contract resulting from this RFP may be jeopardized by selection of the vendor. If the vendor has experienced no such terminations for default in the past five years, so declare. If at any time during the past five years, the vendor has had a contract terminated for convenience, non-allocation of funds, or any other reason, which termination occurred before completion of all obligations under the initial contract provisions, describe fully all such terminations including the name and address of the other contracting party and the circumstances surrounding the termination. If no such early terminations have occurred, so declare.

Failure to report on the foregoing or if the information furnished is determined to be inaccurate, whether by omission or commission, shall result in rejection of the vendor's Proposal.

Note: The Department reserves the right to not enter into a new contract with a current vendor who is under a corrective action plan until all corrective requirements have been met.

4.2.5.1.4 *Project Staff/Resumes/Job Descriptions*

Vendors must submit a resume or job description detailing the level of education, experience, training, skills, etc. which emphasizes previous experience in the service area as described in this RFP for all key personnel who will

be involved with the proposed project. Vendors must indicate that they have sufficient staff to perform the services required in this RFP. If sufficient staff is not currently available, describe how staff will be obtained to provide the services and the timeline for obtaining the needed staff. Indicate the number of anticipated staff for each position title. List all professional licenses held by the key staff.

4.2.5.1.5 Staff Performance Evaluations and Training

Vendors must describe their staff development program regarding orientation, on-going staff evaluation and training that will be implemented throughout the contract period to ensure delivery of effective services that adhere to the Department's required performance standards.

4.2.5.1.6 Background Checks

Describe in detail the steps the vendor will take to ensure that no employee, regardless of their position, has been the subject of any incident or investigation which would call into question the propriety of that employee's working with the population indicated in this document and for this project. Describe your organization's general procedure for addressing occurrences when an incident or allegation is reported, founded or unfounded.

4.2.5.2 VENDOR FINANCIAL STABILITY

Vendors must submit bank records for the past six months. Vendors of newly formed organizations, who have been in business less than one year must submit copies of any official quarterly financial statements (from a financial institution) that have been prepared since the end of the period reported by your most recent annual report.

4.2.5.3 METHOD OF PROVIDING SERVICES

4.2.5.3.1 Service Delivery Approach

Vendors must provide a detailed description of the work plan and the methods to be used that will convincingly demonstrate to the Department what the vendor intends to do, the timeframes necessary to accomplish the work, and how the work will be accomplished. Vendors must identify the counties/regions to be served by the proposed project. *All services as specified in Section 3: Scope of Project must be addressed in the Service Delivery Approach.*

4.2.5.3.2 Start-up Plan

Vendors must include a detailed project schedule that is comprised of the detailed work plan for the entire project. This section should also include any proposed additions to the tasks outlined in the *Section 3: Scope of Work*. ***The selected vendor must be fully operational on October 1, 2025.***

4.2.5.3.3 Assessment of Benefits and Impact

Describe the process that will be used to assess the proposed services to determine if the expected benefits and their impact have occurred. Include on-going plans to continuously assess and modify services to better meet the needs of the target population. The assessment methodology should provide the Department with meaningful indicators that funded projects are making satisfactory progress toward desired goals.

4.2.5.3.4 Office Location

Vendors must provide the physical address where records will be maintained, and services will be performed under a contract with the Department in the event the vendor is selected.

4.2.5.4 VENDOR CERTIFICATIONS

Vendors must submit a statement attesting that they warrant and represent to the Department that the vendor accepts and agrees with all certifications and terms and conditions of this RFP. Further, by submitting a response to this RFP, the vendor certifies to the Department that they are legally authorized to conduct business within the State of Alabama and to carry out the services described in this document.

4.2.5.4.1 Debarment

Vendors must attest that neither the vendor nor any of the vendor's trustees, officers, directors, agents, servants or employees (whether paid or voluntary) is debarred or suspended or otherwise excluded from or ineligible for participation in federal assistance programs under Executive Order 12549, "Debarment and Suspension."

4.2.5.4.2 Standard Contract

The vendor must agree to the use of the Department's standard contract document. The vendor will further comply with all the terms and conditions of that document, including, but not limited to, compliance with the Title VI of the Civil Rights Act of 1964, the Rehabilitation Act of 1973, as amended, the Americans with Disabilities Act, Alabama Act No. 2000-775 (governing individuals in direct service positions who have unsupervised access to children), the Health Insurance Portability and Accountability Act of 1996 (HIPAA) as applicable, and all other federal and state laws, rules and regulations applicable to receiving funds from the Department to carry out the services described in this RFP. Further, any contract executed pursuant to the RFP must be subject to review by the Department's legal counsel as to its legality of form and compliance with State contract laws, terms, and conditions, and may further be subject to review by the Examiners of Public Accounts, the State Finance Director, and the Office of the Governor.

4.2.5.4.3 Charitable Choice (applies to faith-based organizations only)

The vendor must attest that funds received as a result of this procurement will not be used for sectarian instruction, worship, proselytizing or for any other purely religious activities that are not directed toward the secular social goals related to the services described in this RFP. The vendor must agree to serve all eligible members of the public without regard to their religious beliefs and, further, must not require clients' active participation in any religious practice. (In carrying out the said services, the vendor will remain independent from federal, state and local governments; will retain control over the expression of its religious beliefs and is NOT required to remove its religious writings or symbols or to alter its internal governance as a condition of doing business with the Department.)

4.2.5.4.4 Financial Accounting

Vendors must agree that the vendor's accounting system will be consistent with General Accepted Governmental Accounting Principles (GAAP). The vendor must maintain sufficient financial accounting records documenting all funding sources and applicable expenditure of all funds from all sources.

4.2.5.4.5 Vendor Work Product

The vendor must attest that the proposal submitted in response to this document is the work product of said vendor. If the proposal is determined not to be the work product of the vendor, the proposal may, at the Department's sole discretion, be rejected.

4.2.5.5 ATTACHMENTS

Vendors must include all applicable forms identified below as attachments (i.e., Attachment A, B, C, etc.), applicable forms must follow the Technical Proposal. **DO NOT ALTER ANY OF THE FORMS LISTED BELOW OR OTHERWISE INCLUDED/REQUIRED IN THIS DOCUMENT.**

4.2.5.5.1 Disclosure Statement

The Technical Proposal must be followed by a completed copy of the **Disclosure Statement**.

4.2.5.5.2 Trade Secret Affidavit

The Disclosure Statement must be followed by a completed copy of the **Trade Secret Affidavit (Appendix C)**, if applicable.

4.2.5.5.3 Certificate of Compliance

The Trade Secret Affidavit must be followed by a completed copy of the **Certificate of Compliance (Appendix D)**. **All proposals must include the Certificate of Compliance.**

4.2.5.5.4 E-Verify Memorandum of Understanding (MOU)

The Certificate of Compliance must be followed by a copy of the **E-Verify MOU**. *All proposals must include the E-Verify MOU.*

4.2.5.5.5 Immigration Status Form

The E-Verify MOU must be followed by a copy of the **Immigration Status Form**. *All proposals must include the Immigration Status Form. (Appendix E)*

SECTION 5: STANDARD COST PER DIEM**5.0 COST PROPOSAL**

Adult Day Care Services will be paid according to following rate per month:

Full Time with Transportation	\$700/month
Full Time without Transportation	\$525/month
Part Time with Transportation	\$420.00/month
Part Time without Transportation	\$322.00/month

To receive Full time Rate (with or without transportation), the client must be present at least 16 days during that month.

To receive Part time Rate (with or without transportation), the client must be present at the daycare for 1 to 15 days during that month.

The approved 13 holidays are counted toward attendance. Weekends are not calculated in attendance days.

There is no payment for any slots that are unused per month.

Note: A maximum rate is specified in this RFP document for provision of services, any proposal submitted exceeding the fixed rate will be deemed non-responsive and no further consideration will be given.

SECTION 6: EVALUATION CRITERIA

6.0 EVALUATION CRITERIA

The evaluation committee will review and evaluate the proposals according to the following criteria based on a **maximum possible value of 100 points**. Proposals failing to meet the requirements of this RFP may be deemed non-responsive or subject to point deductions. The point value assigned to each component of the **Technical Proposal and Cost Proposal** will be based on the following scoring scale.

Category	RFP Section	Point Value
Vendor Qualifying Information		30% of points for a possible 30 points
A. Vendor Profile and Experience	4.2.5.1.1	20
B. Staff Performance Evaluations and Training	4.2.5.1.5	5
C. Vendor Financial Stability	4.2.5.2	5
Method of Providing Services		50% of points for a possible 50 points
A. Service Delivery Approach	4.2.5.3.1	25
B. Start-up Plan	4.2.5.3.2	15
C. Assessment of Benefits and Impacts	4.2.5.3.3	10
Cost Proposal		20% of points for a possible 20 points
A. Cost Proposal	5.0	20

SECTION 7: DEFINITIONS

By submitting a response to request for proposal or acceptance of a contract, the vendor agrees to acceptance of the following Standard Terms and Conditions and any other provisions that are specific to this solicitation or contract.

ACCEPTANCE/REJECTION OF PROPOSALS: The Department reserves the right to accept or reject any or all proposals, wholly or in part, and to make awards in any manner deemed in the best interest of the Department. Proposals will be firm for 180 days, unless stated otherwise in the text of the request for proposal.

ACCESS AND RETENTION OF RECORDS: The contractor agrees to provide the Department, Legislative Auditor, or authorized agents, access to any records necessary to determine contract compliance. The contractor agrees to create and retain records supporting the services rendered or supplies delivered for a period of three years after either the completion date of the contract or the conclusion of any claim, litigation, or exception relating to the contract taken by the Department or third party.

ALTERATION OF SOLICITATION DOCUMENT: In the event of inconsistencies or contradictions between language contained in the Department's solicitation document and a vendor's response, the language contained in the Department's original solicitation document will prevail. Intentional manipulation and/or alteration of solicitation document language will result in the vendor's disqualification and possible debarment.

ASSIGNMENT, TRANSFER AND SUBCONTRACTING: The contractor shall not assign, transfer or subcontract any portion of the contract without the express written consent of the Department.

AUTHORITY: The following request for proposal, limited solicitation, or contract is issued under authority of Section 41-16-72 of the Alabama Code (Act 2001-956, 2001 3rd Sp. Sess., p 817, §1.)

CHARGE BACKS: The Alabama Department of Human Resources and Medicaid will deduct federal charge backs from future payments.

COMPLIANCE WITH LAWS: The contractor must, in performance of work under the contract, fully comply with all applicable federal, department, or local laws, rules and regulations, including the Civil Rights Act of 1964, the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990, and Section 504 of the Rehabilitation Act of 1973. Any subletting or subcontracting by the contractor subjects' subcontractors to the same provision. The contractor agrees that the hiring of persons to perform the contract will be made based on merit and qualifications and there will be no discrimination based upon race, color, religion, creed, political ideas, sex, age, marital status, physical or mental disability, or national origin by the persons performing the contract.

DEBARMENT: The contractor certifies, by submitting this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction (contract) by any governmental department or agency. If the contractor cannot certify this statement, attach a written explanation for review by the Department.

DISABILITY ACCOMMODATIONS: The Department does not discriminate based on disability in admission to, access to, or operations of its programs, services, or activities. Individuals, who need aids, alternative document formats, or services for effective communications or other disability-related accommodations in the programs and services offered, are invited to make their needs and preferences known to this office. Interested parties should provide as much advance notice as possible.

FACSIMILE RESPONSES: Facsimile responses will not be accepted for requested for proposals or limited solicitations.

FAILURE TO HONOR PROPOSAL: If a vendor to whom a contract is awarded refuses to accept the award (contract) or, fails to deliver in accordance with the contract terms and conditions, the Department may, in its discretion, suspend the vendor for a period of time from entering into any contracts with the Department.

FORCE MAJEURE: Neither party shall be responsible for failure to fulfill its obligations due to causes beyond its reasonable control, including without limitation, acts or omissions of government or military authority, acts of God, materials shortages, transportation delays, fires, floods, labor disturbances, riots, wars, terrorist acts, or any other causes, directly or indirectly beyond the reasonable control of the non-performing party, so long as such party is using its best efforts to remedy such failure or delays.

FOREIGN CORPORATIONS (OUT-OF-STATE FIRMS): Alabama law provides that a foreign corporation (out-of-state company/firm) may not transact business in the state until it obtains a certificate of authority from the Secretary of State, Section 10-2B-15.01, Code of Alabama 1975. To obtain form for a certificate of authority, contact the Secretary of State, Corporation Division, (334) 242-5324. Not having this certificate does not keep the vendor from registering.

HOLD HARMLESS/INDEMNIFICATION: The contractor agrees to hold the Department, its elected and appointed officials, agents, and employees, while acting within the scope of their duties as such, harmless from and against all claims, demands, causes of action of any kind or character, including the cost of defense thereof, arising in favor of the contractor's employees or third parties on account of bodily or personal injuries, death, or damage to property arising out of services performed or omissions of services or in any way resulting from the acts or omissions of the contractor and/or its agents, employees, representatives, assigns, subcontractors, except for acts of omission resulting from the sole negligence of the Department, under this agreement.

IMMIGRATION CLAUSE: By signing this contract, the contracting parties affirm, for the duration of the agreement, that they will not violate federal immigration law or knowingly employ, hire for employment, or continue to employ an unauthorized alien within the State of Alabama and any location. Furthermore, a contracting party found to be in violation of this provision shall be deemed in breach of the agreement and shall be responsible for all damages resulting therefrom.

LATE PROPOSALS: Regardless of cause, late proposals will not be accepted and will automatically be disqualified from further consideration. It shall be solely the vendor's risk to assure delivery at the designated office by the designated time. Late proposals will not be opened and may be returned to the vendor at the expense of the vendor or destroyed if requested.

MERIT SYSTEM EXCLUSION: The vendor must not to be considered a merit system employee and is not entitled to any benefits of the State Merit System.

REGISTRATION WITH THE PURCHASING DIVISION: Any business intending to transact business in Alabama must register with the Alabama Purchasing Division by completing the Vendor Disclosure Statement. A copy of the Vendor Disclosure Statement can be downloaded from the Purchasing website at www.purchasing.alabama.gov.

SEVERABILITY CLAUSE: A declaration by any court, or any other binding legal source, that any provision of the contract is illegal, and void shall not affect the legality and enforceability of any other provision of the contract, unless the provisions are mutually dependent.

SOLICITATION DOCUMENT EXAMINATION: Vendors must promptly notify the Department of any ambiguity, inconsistency, or error, which they may discover upon examination of a solicitation document.

TERMINATION OF CONTRACT: Unless otherwise stated, the Department may, by written notice to the contractor, terminate the contract in whole or in part at any time the contractor fails to perform the contract.

TERMINATION/ALTERNATIVE DISPUTE RESOLUTION

For any and all disputes arising under the terms of any contract resulting from this procurement, vendors hereto agree, in compliance with the recommendations of the Governor and Attorney General, when considering settlement of such disputes, to utilize appropriate forms of non-binding alternative dispute resolution including but not limited to, mediation by and through the Attorney General's Office of Administrative hearings or where appropriate, private mediators.

UNAVAILABILITY OF FUNDING: The Department, at its sole discretion, may terminate or reduce the scope of the contract if available funding is reduced for any reason.

U.S. FUNDS: All prices and payments must be in U.S. dollars

APPENDIX A: DISCLOSURE STATEMENT



State of Alabama
DISCLOSURE STATEMENT
(Required by Act 2001-955)

ENTITY COMPLETING FORM

Agreement Number

ADDRESS

CITY, STATE, ZIP

TELEPHONE NUMBER

()

STATE AGENCY/DEPARTMENT THAT WILL RECEIVE GOODS, SERVICES, OR IS RESPONSIBLE FOR GRANT AWARD

ADDRESS

CITY, STATE, ZIP

TELEPHONE NUMBER

()

This form is provided with:

- Contract
- Proposal
- Request for Proposal
- Invitation to Bid
- Grant Proposal

Have you or any of your partners, divisions, or any related business units previously performed work or provided goods to any State Agency/Department in the current or last fiscal year?

- Yes
- No

If yes, identify below the State Agency/Department that received the goods or services, the type(s) of good or services previously provided, and the amount received for the provision of such goods or services.

Have you or any of your partners, divisions, or any related business units previously applied and received any grants from any State Agency/Department in the current or last fiscal year?

- Yes
- No

If yes, identify the State Agency/Department that awarded the grant, the date such grant was awarded, and the amount of the grant.

1. List below the name(s) and address(es) of all public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

2. List below the name(s) and address(es) of all family members of public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the public officials/public employees and State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

If you identified individuals in items one and/or two above, describe in detail below the direct financial benefit to be gained by the public officials, public employees, and/or their family members as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

Describe in detail below any indirect financial benefits to be gained by any public official, public employee, and/or family members of the public official or public employee as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

List below the name(s) and address(es) of all paid consultants and/or lobbyists utilized to obtain the contract, proposal, request for proposal, invitation to bid, or grant proposal:

By signing below, I certify under oath and penalty of perjury that all statements on or attached to this form are true and correct to the best of my knowledge. I further understand that a civil penalty of ten percent (10%) of the amount of the transaction, not to exceed \$10,000.00, is applied for knowingly providing incorrect or misleading information.

Signature Date

Notary's Signature Date Date Notary Expires

APPENDIX C: TRADE SECRET AFFIDAVIT

Alabama Department of Human Resources

AFFIDAVIT FOR TRADE SECRET CONFIDENTIALITY

DEPARTMENT OF _____)
County of _____) ss.

_____ (Affiant), being first duly sworn under oath, and representing _____ (hereafter "Vendor"), hereby deposes and says that:

1. I am an attorney licensed to practice in the State of _____, representing the Vendor referenced in this matter, and have full authority from the Vendor to submit this affidavit and accept the responsibilities stated herein.

2. I am aware that the Vendor is submitting a proposal to the Alabama Department of Human Resources for RFP # _____. Public agencies in Alabama are required by Alabama law to permit the public to examine documents that are kept or maintained by the public agencies, other than those legitimately meeting the provisions of the Alabama Trade Secrets Act, Alabama Code Section 8-27-1, and that the Department is required to review claims of trade secret confidentiality.

3. I have read and am familiar with the provisions of the Alabama Trade Secrets Act, am familiar with the case law interpreting it, and understand that all information received in response to this RFP will be available for public examination except for:

- (a) trade secrets meeting the requirements of the Act; and
- (b) information requested by the Department to establish vendor responsibility unless prior written consent has been given by the vendor.

4. I am aware that in order for the Vendor to claim confidential material, this affidavit must be fully completed and submitted to the Department, and the following conditions must be met by the Vendor:

- (a) information to be withheld under a claim of confidentiality must be clearly marked and separated from the rest of the proposal.
- (b) the proposal may not contain trade secret matter in the cost or price; and
- (c) the Vendor's explanation of the validity of this trade secret claim is attached to this affidavit.

5. I and the Vendor accept that, should the Department determine that the explanation is incomplete, inadequate, or invalid, the submitted materials will be treated as any other document in the department's possession, insofar as its examination as a public record is concerned. I and the Vendor are solely responsible for the adequacy and sufficiency of the explanation. Once a proposal is opened, its contents cannot be returned to the Vendor if the Vendor disagrees with the Department's determination of the issue of trade secret confidentiality.

6. I, on behalf of the Vendor, warrant that the Vendor will be solely responsible for all legal costs and fees associated with any defense by the Department of the Vendor's claim for trade secret protection in the event of an open records request from another party which the Vendor chooses to oppose. The Vendor will either totally assume all responsibility for the opposition of the request, and all liability and costs of any such defense, thereby defending, protecting, indemnifying, and saving harmless the Department, or the Vendor will immediately withdraw its opposition to the open records request and permit the Department to release the documents for examination. The Department will inform the Vendor in writing of any open records request that is made, and the Vendor will have five working days from receipt of the notice to notify the Department in writing whether the Vendor opposes the request or not. Failure to provide that notice in writing will waive the claim of trade secret confidentiality and allow the Department to treat the documents as a public record.

Documents that, in the opinion of the Department, do not meet all the requirements of the above will be available for public inspection, including any copyrighted materials.

Affiant's Signature

Signed and sworn to before me on _____ (date) by _____
_____ (Affiant's name).

Name of Notary Public: _____ for the

Department of: _____

My Commission Expires: _____



APPENDIX D: CERTIFICATE OF COMPLIANCE

State of _____)

County of _____)

CERTIFICATE OF COMPLIANCE WITH THE BEASON-HAMMON ALABAMA TAXPAYER AND CITIZEN PROTECTION ACT (ACT 2011-535, as amended by Act 2012-491)

DATE: _____

RE Contract/Grant/Incentive (describe by number or subject):

_____ by _____ and _____ between
_____ (Contractor/Grantee) and
_____ (State Agency, Department or Public Entity)

The undersigned hereby certifies to the State of Alabama as follows:

1. The undersigned holds the position of _____ with the Contractor/Grantee named above, and is authorized to provide representations set out in this Certificate as the official and binding act of that entity, and has knowledge of the provisions of THE BEASON-HAMMON ALABAMA TAXPAYER AND CITIZEN PROTECTION ACT (ACT 2011-535 of the Alabama Legislature, as amended by Act 2012-491) which is described herein as "the Act".

2. Using the following definitions from Section 3 of the Act, select and initial either (a) or (b), below, to describe the Contractor/Grantee's business structure.

BUSINESS ENTITY. Any person or group of persons employing one or more persons performing or engaging in any activity, enterprise, profession, or occupation for gain, benefit, advantage, or livelihood, whether for profit or not for profit. "Business entity" shall include, but not be limited to the following:

a. Self-employed individuals, business entities filing articles of incorporation, partnerships, limited partnerships, limited liability companies, foreign corporations, foreign limited partnerships, foreign limited liability companies authorized to transact business in this state, business trusts, and any business entity that registers with the Secretary of State.

b. Any business entity that possesses a business license, permit, certificate, approval, registration, charter, or similar form of authorization issued by the state, any business entity that is exempt by law from obtaining such a business license, and any business entity that is operating unlawfully without a business license.

EMPLOYER. Any person, firm, corporation, partnership, joint stock association, agent, manager, representative, foreman, or other person having control or custody of any employment, place of employment, or of any employee, including any person or entity employing any person for hire within the State of Alabama, including a public employer. This term shall not include the occupant of a household contracting with another person to perform casual domestic labor within the household.

_____(a)The Contractor/Grantee is a business entity or employer as those terms are defined in Section 3 of the Act.

_____(b)The Contractor/Grantee is not a business entity or employer as those terms are defined in Section 3 of the Act.

3. As of the date of this Certificate, Contractor/Grantee does not knowingly employ an unauthorized alien within the State of Alabama and hereafter it will not knowingly employ, hire for employment, or continue to employ an unauthorized alien within the State of Alabama.

4. Contractor/Grantee is enrolled in E-Verify unless it is not eligible to enroll because of the rules of that program or other factors beyond its control.

Certified this _____ day of _____, 20_____.

Name of Contractor/Grantee/Recipient

By: _____

Its _____

The above Certification was signed in my presence by the person whose name appears above, on

this _____ day of _____, 20_____.

WITNESS: _____

Printed Name of Witness

APPENDIX E: IMMIGRATION STATUS FORM

IMMIGRATION STATUS

I hereby attest that all workers on this project are either citizens of the United States or are in a proper and legal immigration status that authorizes them to be employed for pay within the United States.

Signature of Contractor

Witness

ATTESTATIONS AND DECLARATIONS FOR PROVISIONS OF SERVICES

4.2.5.1.1 VENDOR PROFILE AND EXPERIENCE

I (Vendor) attest that I have _____months/years of experience providing adult day care and/or services similar to those requested in the RFP for this procurement.

4.2.5.1.2 PAST AND PRESENT CONTRACTUAL RELATIONSHIPS WITH THE DEPARTMENT

I (Vendor) attest that I have listed below all current and past contracts with the Department and other state agencies including colleges/universities within the last three (3) years. If no such contracts exist, so declare.

I currently have the following contracts with the state agencies listed below:

OR

I (Vendor) declare that I have had no contracts with the Department or any other state agency including colleges/universities within the last three (3) years.

AND;

I (Vendor) declare that none of our employees have been an employee of the State of Alabama within the past two (2) years.

OR

I (Vendor) declare that the following employees have been an employee of the State of Alabama within the past two (2) years. _____

4.2.5.1.3 CONTRACT PERFORMANCE

I (Vendor) declare that neither I nor any proposed subcontractor has had a contract terminated for default during the past five years. We did not receive notice to stop performance delivery due to non-performance or poor performance and no issues were (a) not litigated due to inaction on the part of the Vendor; nor (b) litigated where litigation determined the vendor to be at default.

OR

I (Vendor) declare that I and/or a proposed subcontractor have had a contract terminated for default during the past five years and we received a notice to stop performance delivery due to nonperformance or poor performance. The issue was (a) not litigated due to inaction on the part of the vendor; and/or (b) litigated and such litigation determined the vendor to be in default.

I (Vendor) declare that I and/or a proposed subcontractor have had a contract terminated for default during the past five years and we received a notice to stop performance delivery due to nonperformance or poor performance. The issue was (a) not litigated due to inaction on the part of the vendor; and/or (b) litigated and such litigation determined the vendor to be in default.

AND

I (Vendor) declare that at no time during the past five years, have we had a contract terminated for convenience, non-allocation of funds, or any other reason, where termination occurred before completion of all obligations under the initial contract provisions.

OR

I (Vendor) declare that during the past five years, we have had a contract terminated for convenience, non-allocation of funds, or any other reason, where termination occurred before completion of all obligations under the initial contract provisions.

I (Vendor) declare that I and/or a proposed subcontractor have had a contract terminated for default during the past five years and we received a notice to stop performance delivery due to nonperformance or poor performance. The issue was (a) not litigated due to inaction on the part of the vendor; and/or (b) litigated and such litigation determined the vendor to be in default.

AND

I (Vendor) declare that at no time during the past five years, have we had a contract terminated for convenience, non-allocation of funds, or any other reason, where termination occurred before completion of all obligations under the initial contract provisions.

OR

I (Vendor) declare that during the past five years, we have had a contract terminated for convenience, non-

ATTESTATIONS AND DECLARATIONS FOR PROVISION OF SERVICES

allocation of funds, or any other reason, where termination occurred before completion of all obligations under the initial contract provisions.

4.2.5.1.4 PROJECT STAFF/ JOB DESCRIPTIONS

I (Vendor) attest that I have attached to this proposal, job descriptions for all staff involved in this project. Each position has been described in a separate document, and the description includes the following: (1) title of the position; (2) the process or procedure for supervision; (3) minimum education, training and experience required; (4) working hours; (5) salary range; (6) narrative job summaries; and, (7) specific duties and responsibilities.

I (Vendor) attest that I have sufficient staff to perform the services required in the RFP for this procurement. I further attest that if sufficient staff is not currently available, staff will be obtained to provide the services by the start of the contract on Thursday, October 01,2020.

4.2.5.1.6 BACKGROUND CHECKS

I (Vendor) attest that I will adhere to the Department's background policy. I will ensure that no staff, regardless of level, has not been the subject of any incident or investigation which would call into question the propriety of that employee's working with this population of vulnerable adults.

I (Vendor) have attached to this proposal, documentation that each employee has a criminal background check, which includes ABI, FBI, and the CAN registry. I attest that I will adhere to the Department of Human Resources' policies and procedures for reporting allegations of abuse, neglect, and exploitation. I attest that I will adhere to the Department of Human Resources' policies and procedures for addressing occurrences when an incident allegation is indicated or non-indicated.

4.2.5.2 VENDOR FINANCIAL STABILITY

I (Vendor) have attached to this proposal, bank records for the past 6 months.

OR

I (Vendor) attest that I am a newly formed organization, who has been in business less than one year. I have attached to this proposal, copies of quarterly financial statements that have been prepared since the end of the period reported by our most recent annual report.

4.2.5.3 METHOD OF PROVIDING SERVICES**4.2.5.3.1 SERVICE DELIVERY APPROACH**

I (Vendor) _____ agree to provide Adult Day Care services as described in this RFP for this procurement and to provide services at rates not to exceed those specified in the RFP. By submitting a response to the Adult Day Care Services request for proposal and acceptance of a contract, if awarded, I agree to acceptance of the Standard Terms and Conditions and any other provisions that are specific to this solicitation or a contract.

4.2.5.3.1.1 ADULT DAY CARE PROGRAM REQUIREMENTS

I attest that all adult day care requirements will be met. I agree to comply with documentation requirements for the provision of Adult Day Care Services. These requirements will include but are not limited to:

A. Completion of daily attendance records.

ATTESTATIONS AND DECLARATIONS FOR PROVISION OF SERVICES

- B. Completion of a written weekly plan which will outline the activities.
- C. Completion of a written assessment on each client's physical, social, emotional adjustment to be completed within 30 days of enrollment.
- D. Completion of quarterly assessments on each client.
- E. Completion of six-month summary containing the client name, DHR case number, eligibility status, DHR office authorizing service, progress since last six-month report and recommendations.

I understand that failure to comply with the above requirements may result in an adjustment being made and/or termination of a contract that may be awarded through this procurement.

4.2.5.3.1.2 OPERATING SCHEDULE

I agree to provide a regular daily routine in accordance with the physical, mental, and emotional needs of the adults in care. I attest that of the following requirements will be met:

- A. The center will be open a minimum of seven hours daily. This will include time periods for staff-directed activities, free time, meals, and snacks. The program will provide day care _____ hours per day, from _____ a.m. to _____ p.m.

Note: Attach a copy of the daily operating schedule.

- B. Schedule will include periods for both indoor and outdoor activities.
- C. Meals and snacks will be spaced at time intervals to accommodate the needs of adults being served.
- D. Activity periods will be sequenced and timed to accommodate individual needs of the adults being served.
- E. Staff planning and familiarity with the operating schedule will provide for adults to move smoothly from one activity period to the next.
- F. The adults or caretaker relatives will be advised of the holiday schedule at the time of admission to the program and again one-week prior to the holiday.
- G. The number of holidays will not exceed thirteen (13) per year.

Note: Attach a copy of annual holiday schedule.

4.2.5.3.1.3 EMERGENCY AND DISASTER PLANNING

I attest that provisions for emergency and disaster planning for DHR adult day care clients will be done in accordance with Alabama Act # 2006-559.

4.2.5.2.1.1 FACILITY

I (Vendor) attest that Adult Day Care services will be provided in approved facilities that meet the requirements as specified in the Adult Day Care Minimum Standards. I attest that all of the following facility requirements will be met. I will:

- A. Provide a safe, clean, and orderly environment that allows opportunities for a variety of learning experiences and encourages socialization and involvement in the program.
- B. Provide a day care environment that allows opportunities for a variety of learning experiences and encourages socialization and involvement in the program.
- C. Plan the day care facility in such a manner that program activity objectives will be reinforced, and relevant information will be communicated to participants.

ATTESTATIONS AND DECLARATIONS FOR PROVISION OF SERVICES

- D. Ensure that the facility meet all applicable Alabama health and fire safety standards.
- E. Ensure that the State Fire Marshal and the local Health Department inspect the facility for compliance with such standards prior to program occupation of the facility. Inspection results will be posted in a prominent place in the facility. Ensure that the facility will be re-certified yearly by the State Fire Marshal or local fire department and the local Health Department. Procedures for building evacuation will be posted. All staff will be familiar with such procedures.

Note: Attach a copy of approved fire and health inspections.

- F. Ensure that the indoor and outdoor areas, equipment and furnishings will be clean and free of undesirable, hazardous, or unsanitary material and conditions.
- G. Ensure that adequate provisions will be made for the safety and comfort of every adult. The facility will not have any barriers which would prevent services to handicapped individuals and will be accessible to the handicapped in the following respects: elevators will be accessible to individuals in wheelchairs; bathroom doors will be wide enough for accessibility; and ramps will be provided at entrances.
- H. Ensure that the facility will have at least 35 square feet of activity floor space per day care participant excluding offices and halls.
- I. Ensure that the facility will be clean and attractive in appearance. The space will be properly ventilated and well lighted. At least one area will be large enough to allow all participants to meet comfortably at one time.
- J. Ensure that room temperature will be maintained at a degree comfortable for the client. An inside room thermometer will be available. All heating and cooling equipment will be adequately protected so that participants cannot come in direct contact with them.
- K. Ensure that bathrooms will be located conveniently for participants. At least one toilet and one lavatory will be available for each 15 persons. Regardless of enrollment, a minimum of two restrooms will be available.
- L. Ensure that every bathroom door will be designed to permit opening of the locked door from the outside in an emergency. The opening device will be readily accessible to the staff.
- M. Ensure that bathrooms will be furnished with necessary personal supplies (toilet paper, paper towels, soap, etc.). Ensure that some washcloths and cloth towels will be available for use in emergencies.
- N. Ensure that ground or first floor space will be used for client activity areas and will include required bathrooms. Any area where steps are located will have safety rails for participants.
- O. Ensure that office space will be provided for storage of records and to provide privacy for conferences.
- P. Ensure that floors will be of nonskid material and free of dampness and odors. All rugs will be nonskid.

4.2.5.2.1.2 FACILITY

I (Vendor) attest that Adult Day Care services will be provided in approved facilities that meet the requirements as specified in the Adult Day Care Minimum Standards. I attest that all of the following facility requirements will be met. I will:

- A. Provide a safe, clean, and orderly environment that allows opportunities for a variety of learning experiences and encourages socialization and involvement in the program.
- B. Provide a day care environment that allows opportunities for a variety of learning experiences and encourages socialization and involvement in the program.
- C. Plan the day care facility in such a manner that program activity objectives will be reinforced, and relevant information will be communicated to participants.

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- D. Ensure that the facility meet all applicable Alabama health and fire safety standards.
- E. Ensure that the State Fire Marshal and the local Health Department inspect the facility for compliance with such standards prior to program occupation of the facility. Inspection results will be posted in a prominent place in the facility. Ensure that the facility will be re-certified yearly by the State Fire Marshal or local fire department and the local Health Department. Procedures for building evacuation will be posted. All staff will be familiar with such procedures.

Note: Attach a copy of approved fire and health inspections.

- F. Ensure that the indoor and outdoor areas, equipment and furnishings will be clean and free of undesirable, hazardous, or unsanitary material and conditions.
- G. Ensure that adequate provisions will be made for the safety and comfort of every adult. The facility will not have any barriers which would prevent services to handicapped individuals and will be accessible to the handicapped in the following respects: elevators will be accessible to individuals in wheelchairs; bathroom doors will be wide enough for accessibility; and ramps will be provided at entrances.
- H. Ensure that the facility will have at least 35 square feet of activity floor space per day care participant excluding offices and halls.
- I. Ensure that the facility will be clean and attractive in appearance. The space will be properly ventilated and well lighted. At least one area will be large enough to allow all participants to meet comfortably at one time.
- J. Ensure that room temperature will be maintained at a degree comfortable for the client. An inside room thermometer will be available. All heating and cooling equipment will be adequately protected so that participants cannot come in direct contact with them.
- K. Ensure that bathrooms will be located conveniently for participants. At least one toilet and one lavatory will be available for each 15 persons. Regardless of enrollment, a minimum of two restrooms will be available.
- L. Ensure that every bathroom door will be designed to permit opening of the locked door from the outside in an emergency. The opening device will be readily accessible to the staff.
- M. Ensure that bathrooms will be furnished with necessary personal supplies (toilet paper, paper towels, soap, etc.). Ensure that some washcloths and cloth towels will be available for use in emergencies.
- N. Ensure that ground or first floor space will be used for client activity areas and will include required bathrooms. Any area where steps are located will have safety rails for participants.
- O. Ensure that office space will be provided for storage of records and to provide privacy for conferences.
- P. Ensure that floors will be of nonskid material and free of dampness and odors. All rugs will be nonskid.
- Q. Ensure that windows and door areas will be screened if used for ventilation.
- R. Ensure that space will be available to isolate a sick or upset participant temporarily. This space will provide privacy for the participant but will be in an area where staff may readily monitor the isolated individual. The arrangement of curtains or movable screens used to section off part of an activity area is not acceptable as isolation space.
- S. Ensure that the facility will have areas identified for different activities (i.e., rest reading, games, workshop, etc.). These areas will be arranged in such a manner to allow for maximum independent action in order that participants may move about and choose activities, as they are capable without staff assistance.
- T. Ensure that equipment and facility supplies will include a variety of materials to stimulate individual interest and encourage group activity, such as table games, magazines, books, puzzles, etc.

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- U. Ensure that facility furnishings will be of sufficient variety to assure the comfort and to meet the physical needs of all daycare participants. Seating will be available for each participant.
- V. Ensure that an adequate number of chairs, tables, dishes, and utensils will be available to accommodate total group mealtime and ongoing planned activities. Upholstered seating as well as table chairs will be available.

Note: List below a description of the program facility including number of rooms, bathrooms, telephones, etc

4.2.5.2.1.3 PROGRAM CONTENT

I attest that all of the following programmatic requirements will be met. I will:

- A. Provide a program which meets the needs and interests of the (day care) group as identified through client input and individual needs assessments.
- B. Provide opportunities for day care clients to participate in program planning.
- C. Provide opportunities for each adult to increase to their maximum potential and to increase their abilities to function in the areas of daily living and self-care.
- D. Provide information about available community resources to day care clients.
- E. Provide a variety of individual and group activities directed toward the above-stated goals. Activities will require some active participation by the participants in day care, not just television watching.
- F. Provide a written weekly plan that will be completed in advance describing daily activities during operating hours.
- G. Provide a weekly plan that will provide all adults the opportunity to participate in a minimum of five hours daily of planned activities. (This will not include lunch, breaks or free time.)
- H. Provide information and activities related to:
 - 1. Nutrition.
 - 2. Health.
 - 3. Recreational/leisure time activities appropriate for adults.
 - 4. Daily living skills applicable to age group, economic situation and existing handicaps.
 - 5. Physical exercises.
 - 6. Education topics such as current events, history, and government for example.
- I. Provide a variety of opportunities for group socialization.
- J. Involve clients in activities, which will assist individuals in maintaining, improving or preventing further deterioration of physical capabilities.
- K. Provide space where participants may rest quietly and to have equipment that will adequately serve that purpose. Efforts will be made to meet individual needs regarding time to rest.

4.2.5.2.1.4 NUTRITION

I attest that all nutritional requirements will be met. I will:

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- A. Increase clients' knowledge about proper nutrition, food preparation, importance of eating regularly, importance of eating a balanced and medically appropriate diet, etc.
- B. Maintain and increase physical and /or mental functioning through the provision of nutritious and medically appropriate meals.
- C. Maintain or increase social or emotional functioning through provisions of meals in a relaxed atmosphere which encourages opportunities for interaction/socialization.
- D. Ensure that staff will be observant of opportunities to discuss food, food preparation, good nutrition and eating habits.
- E. Ensure that each client in full-time care receives a quantity and quality of food, which meets one-third of the adult daily nutritional requirements. If clients are in day care for more than four hours, the meal will be supplemented by one of more snacks per day.
- F. Ensure that each client in part-time care will receive the same meal or snack provided clients in full-time care if he/she is in attendance at the center during a regularly scheduled meal or snack time. (All part-time clients will have access to at least one meal or snack).
- G. Provide a variety of foods will be served in an attractive manner.
- H. Provide weekly menus will be prepared one week in advance and posted so that clients and visitors may view them.
- I. Ensure that mealtime will be a period for promoting meaningful staff/client and client/client interaction. Staff will be encouraged to eat with clients. Socialization will also be encouraged.
- J. Ensure that the local Health Department approves all food preparation facilities and any resource from which food is delivered. If the center prepares food, the approval will be posted, along with the Health Department's Food Permit to serve food.
- K. Ensure that special diets will be accommodated. Medical information will be on file in client's records to document medical instructions for special diets.
- L. Ensure that temperature in the refrigerator will be kept below 50° Fahrenheit. Milk and other perishable foods will be kept in the refrigerator except during the time of preparation and serving.
- M. Ensure that garbage and rubbish is stored in containers with tight fitting covers. Garbage will be removed from the building daily and the garbage cans will be washed and sanitized frequently.
- N. Ensure that drinking water comes from a source approved by the Public Health Department and supplied by sanitary means. It will be located in or near the rooms usually occupied by participants.

4.2.5.2.1.5 HEALTH

I attest that the following health requirements will be met. I will:

- A. Observe adults to identify special health needs or existing health problems.
- B. Ensure that staff trained in first aid procedures is available at the center during program hours.
- C. Include day to day observation of each adult's general health as an ongoing staff responsibility.
- D. Seek community health resources to meet client needs.
- E. Assistance clients in seeking resources for individual health needs.
- F. Ensure that staff observes each adult daily for indications of new health problems.
- G. Ensure that advance arrangements are made for action to be taken in medical situations. If there are symptoms of communicable disease, the sick participant will be isolated.

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- H. Ensure that information is be on file in each adult's record regarding: the person to be notified in an emergency situation; client's physician; address and phone number; client's diagnosis; and other pertinent health problems.
- I. Ensure that a report of the physical status of each participant and a plan of care is maintained on file at the program.
- J. Ensure that concerns raised by observation related to an adult's mental, physical or emotional health is noted in the case record and brought to the immediate attention of the county DHR social worker.
- K. Ensure that DHR will be advised of recommendations concerning continued participation in adult day care based upon staff observations of the client's mental, physical, or emotional health.
- L. Ensure that all suspected abuse, neglect or exploitation is immediately reported to the county Department of Human Resources by phone or in person and that a written report will follow within five days.
- M. Ensure that staff will use universal precautions and will be trained in procedures.
- N. Ensure that regular health screening (minimum of once per month) is conducted on each participant. Reports will be maintained in the client's file.
- O. Ensure that a first aid kit and a telephone will be available in the facility.
- P. Ensure that program staff will not administer medications; however, I understand that I may provide water or fruit juice to help in swallowing.

4.2.5.2.1.6 SOCIAL SERVICES

I attest that all social service requirements will be met. I will:

- A. Provide an ongoing assessment of each client's physical, social and emotional adjustment in order to identify changing needs.
- B. Ensure that client access to appropriate resources if supplemental services are necessary to meet special needs.
- C. Conduct quarterly assessments to reflect staff observations of each client's participation and adjustment to the program. Ensure that staff document identified special needs and that they document any follow-up action, planned or taken.
- D. Ensure that the county DHR worker is recognized as the primary case manager for all clients for whom DHR is purchasing care.

4.2.5.2.1.7 TRANSPORTATION (IF APPLICABLE)

I (Vendor) attest that I must provide reliable and consistent transportation for the Department of Human Resources clients for whom the Department provides transportation payment. I further attest that I will notify the Department of Human Resources of any transportation problems that may affect a client's ability to attend daycare. I attest that all transportation requirements will be met. I will:

- A. Provide a safe, dependable means of transportation when the need for this service exists.
- B. Ensure that the driver transporting day care clients has a valid Alabama Driver's license.
- C. Ensure that the vehicle used for transporting clients is safe and in good working condition.
- D. Ensure that all passengers use safety belts when the vehicle is in motion.
- E. Ensure that all passengers enter and leave the vehicle from the curbside.

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- F. Ensure that the driver waits until the client enters the building, the client’s home or the center.
- G. Ensure that the number of passengers will be limited to the capacity of the vehicle and the type of license held by the driver.
- H. Ensure that all doors will be locked whenever the vehicle is in motion.
- I. Ensure that the driver will ensure that all clients have exited the vehicle prior to parking or storing.

4.2.5.2.1.8 STAFFING PATTERNS

I attest that all staffing requirements will be met.

The program will, at a minimum, maintain the following number of staff who are directly involved with clients during hours of program operation. This number will be in addition to a program director.

<u>Program Enrollment</u>	<u>Staff</u>
1-10	1
11-25	2
26-35	3
36-43	4

Note: For every eight (8) additional participants add one (1) staff member.

I attest that staffing requirements will be met. I will:

- A. Ensure that at least two staff will be at the center during periods when clients are present. At least one of the staff present in the center at any time will be CPR certified and trained in first aid.
- B. Ensure that auxiliary staff (kitchen/maintenance personnel, bookkeepers, etc.) whose primary responsibilities do not require direct involvement with clients will not be counted toward staff ratio requirements unless their job descriptions specify time periods when they have responsibility only for working with the clients.

4.2.5.2.1.9 STAFF

I attest that all staffing requirements will be met. I will:

- A. Ensure that two staff persons will be CPR and first aid certified.
- B. Ensure that each employee has a physical examination completed within three (3) months prior to employment and every two years thereafter. A copy a documenting the results of each staff person’s physical examination will be filed in their personnel record. Results will show all staff to be free of contagious disease and physically capable of meeting the responsibilities of their position. Volunteers counted as replacements for hired staff will also meet these requirements.
- C. Ensure that a nurse, LPN or RN is available to provide clients a monthly health screening.
- D. Ensure that all members of the staff will be emotionally and physically fit to care for persons who have physical and/or mental limitations. Staff will be understanding, accepting, of even temperament, have common sense, and a sense of humor.
- E. Ensure that all staff and unsupervised staff have a criminal history background check including fingerprinting.
- F. Maintain immigration documentation to verify that all workers are either citizens of the United States or

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are in proper and legal immigration status that authorizes them to be employed for pay within the United States.

G. Ensure that all staff will report suspected abuse and neglect of any day care client and cooperate in any investigation of compliance.

4.2.5.2.1.10 POPULATION TO BE SERVED

I (Vendor) attest that Adult Day Care Services will be provided to individuals 18 years of age or older who are at risk of abuse, neglect, exploitation or institutionalization.

4.2.5.2.1.11 ACCEPTANCE OF REFERRALS

I (Vendor) attest that upon referral from the County Department of Human Resources, I must have an acceptance service plan and will be able to provide services to the client within five (5) working days.

I (Vendor) understand that, if I cannot accept a referral and provide service within five working days, the vendor will notify the local County Department of Human Resources referring social worker in writing of the referral's rejection.

4.2.5.3.2 START-UP PLAN

I (Vendor) attest that I will be fully operational by *October 01, 2025*.

4.2.5.3.3 ASSESSMENT OF BENEFITS AND IMPACT

I (Vendor) attest that I have will assess the services provided to determine their effectiveness. I attest that I will implement a process approved by the Adult Protective Services Division to determine if expected benefits have occurred and their impact to program participants.

4.2.5.3.4 OFFICE LOCATION

I (Vendor) attest that the physical address where services will be performed under a contract with the Department in the event the Vendor becomes the Contractor will be: _____

VENDOR CERTIFICATIONS

4.2.5.4 VENDOR CERTIFICATIONS

Vendors must sign each statement below attesting that they warrant and represent to the Department that the vendor accepts and agrees with all certifications and terms and conditions of this RFP. Further, by submitting a response to this RFP, the vendor certifies to the Department that they are legally authorized to conduct business within the State of Alabama and to carry out the services described in this document.

4.2.5.4.1 DEBARMENT

I (Vendor) attest that neither the vendor nor any of the vendor’s trustees, officers, directors, agents, servants or employees (whether paid or voluntary) is debarred or suspended or otherwise excluded from or ineligible for participation in federal assistance programs under Executive Order 12549, "Debarment and Suspension."

Authorized Vendor Signatory

Date

4.2.5.4.2 STANDARD CONTRACT

I (Vendor) agree to the use of the Department’s standard contract document. The vendor will further comply with all the terms and conditions of that document, including, but not limited to, compliance with the Title VI of the Civil Rights Act of 1964, the Rehabilitation Act of 1973, as amended, the Americans with Disabilities Act, Alabama Act No. 2000-775 (governing individuals in direct service positions who have unsupervised access to children), the Health Insurance Portability and Accountability Act of 1996 (HIPAA) as applicable, and all other federal and state laws, rules and regulations applicable to receiving funds from the Department to carry out the services described in this RFP. Further, any contract executed pursuant to the RFP must be subject to review by the Department’s legal counsel as to its legality of form and compliance with State contract laws, terms and conditions, and may further be subject to review by the Alabama Legislative Contract Review Committee, Examiners of Public Accounts, the State Finance Director and the Office of the Governor.

Authorized Vendor Signatory

Date

4.2.5.4.3 CHARITABLE CHOICE (APPLIES TO FAITH-BASED ORGANIZATIONS ONLY)

NOT APPLICABLE

I (Vendor) attest that funds received as a result of this procurement will not be used for sectarian instruction, worship, proselytizing or for any other purely religious activities that are not directed toward the secular social goals related to the services described in this RFP. The vendor must agree to serve all eligible members of the public without regard to their religious beliefs and, further, must not require clients' active participation in any religious practice. (In carrying out the said services, the vendor will remain independent from federal, state and local governments; will retain control over the expression of its religious beliefs and is NOT required to remove its religious writings or symbols or to alter its internal governance as a condition of doing business with the Department.)

Authorized Vendor Signatory

Date

4.2.5.4.4 FINANCIAL ACCOUNTING

I (Vendor) agree that the vendor's accounting system will be consistent with General Accepted Governmental Accounting Principles (GAAP). The vendor must maintain sufficient financial accounting records documenting all funding sources and applicable expenditure of all funds from all sources.

Authorized Vendor Signatory

Date

4.2.5.4.5 VENDOR WORK PRODUCT

I (Vendor) attest that the proposal submitted in response to this document is the work product of said vendor. If the proposal is determined not to be the work product of the vendor, the proposal may, at the Department's sole discretion, be rejected.

Authorized Vendor Signatory

Date

REQUESTS AND COST PROPOSAL

I (Vendor) attest that I will provide Adult Day Care Services in the following geographic area(s):

_____ agrees to provide Adult Day Care at the rates set below.

Organization Name

SERVICE TYPES	MAXIMUM RATE	PLACE AN (X) BY SERVICE TO BE PROVIDED	TOTAL NUMBER OF SLOTS TO BE SERVED	
Full-time (FT) with Transportation	\$700.00 per month			
Full-time without Transportation	\$525.00 per month			
Part-time (PT) with Transportation	\$420.00 per month			
Part-time without Transportation	\$322.00 per month			
NUMBER OF SLOTS REQUESTED PER COUNTY				
COUNTY	SLOTS FT/WITH TRANSPORTATION (\$700 per month)	SLOTS PT/WITH TRANSPORTATION (\$420 per month)	SLOTS FT/WITHOUT TRANSPORTATION (\$525 per month)	SLOTS PT/WITHOUT TRANSPORTATION (\$322 per month)
TOTAL				