



ALABAMA DEPARTMENT OF HUMAN RESOURCES REQUEST FOR PROPOSALS

PROCUREMENT INFORMATION	
RFP Number: 2006-100-04	RFP Title: Case Aide Services
Proposal Due Date and Time: <p style="text-align: center;">Tuesday, May 16, 2006 12:00 p.m., Central Time</p>	Number of Pages: 40
Procurement Officer: <p style="text-align: center;">Starr Stewart Phone: (334) 353-4744 E-mail Address: ssstewart@dhr.state.al.us Website: http://www.dhr.state.al.us</p>	Issue Date: Tuesday, April 04, 2006
	Issuing Division: <p style="text-align: center;">Child and Family Services</p>

INSTRUCTIONS TO VENDORS	
Submit Proposal to: Starr Stewart – Policy, Planning and Research Alabama Department of Human Resources Gordon Persons Building, Room 2344 50 Ripley Street Montgomery, AL 36130-4000	Label Envelope/Package: RFP Number: 2006-100-04 RFP Due Date: Tuesday, May 16, 2006 Special Instructions: <i>Vendors may submit a written request for a copy of the Contract Compliance Requirements document to Starr Stewart, via email.</i>

VENDOR INFORMATION	
(Fill in the information fields below and return this form with RFP response)	
Vendor Name/Address:	Authorized Vendor Signatory: <hr style="width: 20%; margin: 0 auto;"/> <p style="text-align: center;">(Please print name and sign in ink)</p>
Vendor Phone Number: ()	Vendor FAX Number: ()
Vendor Federal I.D. Number:	Vendor E-mail Address:
Indicate whether this proposal is an original or a copy. <input type="checkbox"/> Original <input type="checkbox"/> Copy	
Trade Secret Declarations: (<u>reference section/page(s) of trade secret declarations</u>) <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	

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VENDOR'S RFP CHECKLIST

1. _____ **Read the *entire* document.** Note critical items such as: mandatory requirements; supplies/services required; submittal dates; number of copies required for submittal; licensing requirements; contractual requirements (i.e., contract performance security, insurance requirements, performance and/or reporting requirements, etc.).
2. _____ **Note the procurement officer's name, address, phone numbers and e-mail address.** This is the only person you are allowed to communicate with regarding the RFP.
3. _____ **Attend the pre-proposal conference** if one is offered. These conferences provide an opportunity to ask clarifying questions, obtain a better understanding of the project, or to notify the Department of any ambiguities, inconsistencies, or errors in the RFP.
4. _____ **Take advantage of the "question and answer" period.** Submit your questions to the procurement officer by the due date listed in the Schedule of Events and view the answers given in the formal "addenda" issued for the RFP. All addenda issued for an RFP are posted on the Department's website at www.dhr.state.al.us and will include all questions asked and responses concerning the RFP.
5. _____ **Follow the format required in the RFP** when preparing your response. Provide point-by-point responses to all sections in a clear and concise manner.
6. _____ **Provide complete answers/descriptions.** Read and answer **all** questions and requirements. Don't assume the Department or evaluation committee will know what your company capabilities are or what items/services you can provide, even if you have previously contracted with the Department. The proposals are evaluated based solely on the information and materials provided in your response.
7. _____ **Use the forms provided**, i.e., cover page, budget forms, certification forms, etc.
8. _____ **Check the Department's website for RFP addenda.** It is the vendor's responsibility to check the Department's website at www.dhr.state.al.us for any addenda issued for this RFP, no further notification will be provided.
9. _____ **Review and read the RFP document again** to make sure that you have addressed all requirements. Your original response and the requested copies must be identical and be complete. The copies are provided to the evaluation committee members and will be used to score your response.
10. _____ **Submit your response on time.** Note all the dates and times listed in the Schedule of Events and within the document, and submit all required items on time. Late proposal responses are *never* accepted.

This checklist is provided for assistance only and should not be submitted with Vendor's response.

SCHEDULE OF EVENTS

The following RFP Schedule of Events represents the Department's best estimate of the schedule that will be followed. Unless otherwise specified, the time of day for the following events shall be between 8:00 a.m. and 4:30 p.m., Central Time. The Department reserves the right, at its sole discretion, to adjust this schedule, as it deems necessary. Notification of any adjustment to the Schedule of Events shall be posted on the Department's website at www.dhr.state.al.us as detailed in Section 1.5.3 of this RFP. Vendors should refer to the website periodically for changes to the RFP.

EVENT	DATE
RFP Issue Date	April 04, 2006
Deadline for Receipt of Written Questions	April 14, 2006
Deadline for Posting of Written Responses to Questions	April 21, 2006
RFP Response Due Date	May 16, 2006
Evaluation of Proposal(s) and Selection of Vendor(s)	May 25 – 31, 2006
Intended Date for Notice of Intent to Award a Contract	June 07, 2007

SECTION 1: PROJECT OVERVIEW AND INSTRUCTIONS

1.0 PROJECT OVERVIEW

The ALABAMA DEPARTMENT OF HUMAN RESOURCES, (hereinafter referred to as “the Department”) invites you to submit a proposal for statewide *Case Aide Services*. *Case Aide Services* are general household support activities designed to strengthen a family’s ability to provide a safe, nurturing home environment for children. Services may be provided in the child’s home, the home of a relative or services may be provided to support reunification of a child(ren) with their parents or relatives. This procurement is for the delivery of **statewide case aide services** to children and families for all counties/regions of the state, through individual county offices of the Department of Human Resources; therefore, Vendors must propose to serve all of Alabama’s 67 counties. However, this does not preclude the Department from awarding multiple contracts if it is found to be in the best interest of the Department. This procurement is seeking to fill one hundred and two **(102) full-time equivalents (FTE)** case aide positions. A more complete description of the services sought for this project is provided in *Section 3, Scope of Project*. Proposals submitted in response to this solicitation must comply with the instructions and procedures contained herein.

1.1 ELIGIBLE ENTITIES

Eligible entities may include governmental agencies, faith-based organizations, non-governmental public or private organizations and individuals who: 1) are legally authorized to conduct business within the State of Alabama; 2) possess a high degree of professional skill in the area of service described in this document; 3) possess the skills needed to perform the services described in this RFP; and, 4) meet the terms and conditions of the RFP. In addition, applicants must demonstrate the ability to manage Department funds in accordance with Federal and State regulations and guidelines.

1.2 REQUIRED LICENSURE/CERTIFICATION/CREDENTIAL

There are no license, certification or credential requirements for this procurement.

1.3 CONTRACT TERM

The contract term is for a period of one (1) year beginning *October 01, 2006* and ending *September 30, 2007*. Renewals of the contract, as agreed upon by both parties, may be made at one-year intervals, or any interval that is advantageous to the Department, not to exceed a total of two years, at the option of the Department.

1.4 POINT OF CONTACT

From the date this Request for Proposal (RFP) is issued until the vendor selection is announced, all communication must be directed to the procurement officer in charge of this solicitation. **Vendors must not communicate with any Department staff or officials regarding this procurement with the exception of the procurement officer.** Any unauthorized contact will disqualify the Vendor from further consideration. Contact information for the point of contact is as follows:

**Starr Stewart – Policy, Planning and Research
Alabama Department of Human Resources
50 Ripley Street, Room 2344
Montgomery, AL 36130-4000
Telephone Number: (334) 353-4744
E-mail Address: sstewart@dhr.state.al.us**

1.5 REQUIRED REVIEW

1.5.1 REVIEW RFP

Vendors should carefully review the instructions, mandatory and general requirements, project specifications, and the standard terms and conditions in this RFP. After a thorough review of the RFP, if the Vendor identifies any ambiguity, inconsistency, unduly restrictive specifications, or error, promptly notify the procurement officer identified above in writing, via e-mail or courier by the deadline for receipt of questions as stated in the Schedule of Events.

1.5.2 VENDOR'S QUESTIONS

Vendors with questions or requiring clarification regarding any section of this RFP must submit written questions via e-mail or courier to the procurement officer referenced above on or before **April 14, 2006**. Each question must reference the section, page, and item in question. Vendors must submit all questions posed in a single email message to the procurement officer. Questions received after the deadline will not be considered.

1.5.3 DEPARTMENT'S RESPONSES

The Department will provide an official written answer by **April 21, 2006** to all questions received by April 14, 2006. The Department's response will either provide clarification of the applicable issue or be in the form of a correction to this RFP. Vendor questions and the Department's responses, as well as any formal written addendum will be posted on the Department's website at www.dhr.state.al.us by the close of business on the date listed.

1.7 MANADATORY REQUIREMENTS

All requirements described in this RFP are considered mandatory. Vendor's proposals *must* meet all general and mandatory requirements to be eligible for consideration. The Department will determine whether a Vendor's proposal complies with the requirements. Proposals that do not meet all requirements listed in this RFP may be subject to point reductions during the evaluation process or may be deemed non-responsive. Proposals that do not meet the requirements 1.7.1 through 1.7.4 will be deemed non-responsive and no other consideration will be given.

1.7.1 DEADLINE FOR RECEIPT OF PROPOSALS

Proposals must adhere to the format requirements and must be received by the deadline for receipt of proposals as specified in the Schedule of Events and Section 1.9.1 *Required Copies and Deadline for Receipt of Proposals*.

1.7.2 TAXPAYER IDENTIFICATION VERIFICATION

Vendors must include a completed copy of the Taxpayer Identification Number Request Form (Appendix C).

1.7.3 DISCLOSURE STATEMENT

Alabama law provides that a foreign corporation (an out-of-state company/firm) may not transact business in the State of Alabama without submission of a completed Disclosure Statement to the Alabama Division of Purchasing. Disclosure Statements may be downloaded from the State Purchasing website at www.purchasing.alabama.gov.

1.7.4 AUTHORIZED VENDOR SIGNATORY

Vendors must provide an original proposal using the format described, with an original signature of person(s) legally authorized to bind the applicant to the proposal.

1.8 GENERAL REQUIREMENTS

1.8.1 ACCEPTANCE OF STANDARD TERMS AND CONDITIONS

By submitting a response to this RFP, Vendor agrees to acceptance of the standard terms and conditions of this RFP. Requests for additions or exceptions to the standard terms and conditions including any necessary licenses, or any added provisions must be submitted to the procurement officer by the due date for receipt of written questions and must be accompanied by an explanation of why the exception is being sought and what specific effect it will have on the Vendor's ability to respond to the RFP or perform the contract.

1.8.2 UNDERSTANDING OF SPECIFICATIONS AND REQUIREMENTS

By submitting a response to this RFP, Vendor agrees to an understanding of and compliance with the specifications and requirements described in this RFP.

1.8.3 PRIME CONTRACTOR/SUBCONTRACTORS

The prime contractor if a contract is awarded shall be responsible, in total, for all work of any subcontractors. All subcontractors, if any, must be listed in the proposal. The contractor shall not assign, transfer or subcontract any portion of the contract without the written consent of the Department. The Contractor shall be responsible to the Department for the acts and omissions of all subcontractors or agents and of persons directly or indirectly employed by such subcontractors, and for the acts and omissions of persons employed directly by the Contractor. Any awards made as a result of this document will create a contractual relationship between the Contractor and the Department, not the subcontractor.

1.8.4 VENDOR'S SIGNATURE

An individual authorized to legally bind the organization submitting the proposal must sign the original proposal in ink. The Vendor's signature on a proposal in response to this RFP guarantees that the offer has been established without collusion that would preclude the Department from obtaining the best possible service for the best possible price.

1.9 SUBMITTING A PROPOSAL

1.9.1 SEPARATE COVER

Vendor Technical Proposals and Vendor Cost Proposals (Price Sheets) must be submitted in separately sealed containers. No pricing information shall be included in the Vendor Technical Proposal document. Inclusion of Price Sheet amounts in the Vendor Technical Proposal document shall make the entire proposal non-responsive.

1.9.2 REQUIRED COPIES AND DEADLINE FOR RECEIPT OF PROPOSALS

Vendors must submit one (1) original proposal and **seven (7)** copies and one (1) electronic (PDF preferred) copy on CD, DVD, or floppy disk clearly labeled with the Vendor name to:

**Starr Stewart, Policy, Planning and Research
Alabama Department of Human Resources
Gordon Persons Building, Room 2344
50 Ripley Street
Montgomery, AL 36130-4000**

Proposals must subscribe to the section/subsection headings and numbering format as specified in *Section 4 Proposal Format and Instructions*. Proposals must be sealed and labeled on the outside of the package to clearly indicate that they are in response to **RFP# 2006-100-04**. ***Proposals must be received at the receptionist's desk of the Policy, Planning and Research by to 12:00 p.m., local time, on Tuesday, May 16,***

2006. Prior to due date, proposals may be delivered Monday through Friday between the hours of 8.00 a.m. and 4:30 p.m. Faxed and electronically submitted responses to requests for proposals are NOT accepted.

1.9.3 FAILURE TO COMPLY WITH INSTRUCTIONS

Vendors failing to comply with these instructions may be deemed non-responsive or subject to point deductions. The Department may also choose to not evaluate, and disqualify from further consideration any proposals that do not follow the format described in Section 4: *Proposal Format and Instructions*, are difficult to understand or read, or are missing any requested information.

1.9.4 PRICE SHEETS

Vendors ***must*** respond to this RFP by utilizing the RFP Price Sheets found in *Appendix E*. These price sheets will be used as the primary representation of each Vendor's cost/price, and will be used extensively during proposal evaluations. Additional information should be included as necessary to explain in detail the Vendor's cost/price.

1.9.5 TIMELY SUBMITTED PROPOSALS

All timely submitted proposals and materials received in response to this RFP become the property of the Department and will be incorporated into any formal documentation and/or contract resulting from this RFP between the Department and the Vendor.

1.9.6 LATE PROPOSALS

Regardless of cause, late proposals will not be accepted and will automatically be disqualified from further consideration. It shall be the Vendor's sole risk to assure delivery at the receptionist's desk at the designated office by the designated time. Late proposals will not be opened and may be returned to the Vendor at the expense of the Vendor or destroyed if requested.

SECTION 2: STANDARD INFORMATION

2.0 AUTHORITY

This RFP is issued under the authority of Section 41-16-72 of the Alabama Code (Act 2001-956, 2001 3rd Sp. Sess., p 817, §1.). Any awards resulting from this RFP will be made based on stated evaluation criteria. The weight assigned to each criterion denotes the relative importance of that criterion. No criteria, other than that identified in this RFP, will be used.

2.1 VENDOR COMPETITION

In accordance with 45 CFR 74.43, the Department encourages free and open competition among Vendors. Whenever possible, the Department will design specifications, proposal requests, and conditions to accomplish this objective, consistent with the necessity to satisfy the Department's need to procure technically sound, cost-effective services and supplies

2.2 NONDISCRIMINATION

In accordance with 45 CFR 74.44, no person shall be excluded from participation in, be denied benefits of, be discriminated against in the admission or access to, or be discriminated against in treatment or employment in the Department's contracted programs or activities on the grounds of handicap and/or disability, age, race, color, religion, sex, national origin, or any other classification protected by Federal or Alabama State Constitutional or statutory law; nor shall they be excluded from participation in, be denied benefits of, or be otherwise subjected to discrimination in the performance of contracts with the Department or in the employment practices of the Department's contractors. Accordingly, all Vendors entering into contracts with the Department shall, upon request, be required to show proof of such nondiscrimination and to post in conspicuous places, available to all employees and applicants, notices of nondiscrimination

2.3 PROPOSAL EFFECTIVE PERIOD

All proposals submitted in response to this RFP shall be effective for a 180-day period following the deadline for receipt of proposals as specified in the Schedule of Events and may not be modified, withdrawn or canceled by the Vendor during this period.

2.4 TRADE SECRETS

As part of the initial evaluation, the procurement officer in charge of this solicitation will review proposals received in response to this RFP, for any information deemed a "trade secret" by the vendor and separate identified parts of proposal from public viewing providing the following conditions have been met: (1) confidential information is clearly marked and separated from the rest of the proposal; (2) the proposal does not contain confidential material in the cost or price section; and (3) an affidavit from a Vendor's legal counsel attesting to and explaining the validity of the trade secret claim is attached to each proposal containing trade secrets. The Vendor's Legal Counsel must use the Department of Human Resources "Affidavit for Trade Secret Confidentiality" form when requesting the trade secret claim. The affidavit form (*Appendix D*) included in this RFP.

Information separated out under this process will be available for review only by the procurement officer, the evaluation committee members, and limited other designees. Vendors must be prepared to pay all legal costs and fees associated with defending a claim for confidentiality in the event of a "right to know" (open records) request from another party.

2.5 PRE-SCREENING AND EVALUATION OF PROPOSALS

2.5.1 PRE-SCREENING

During the pre-screening, proposals will be reviewed to ensure compliance with all general and mandatory requirements. Upon completion of this initial review they will be classified “responsive” or “non-responsive”. However; proposals may be found non-responsive at any time during the evaluation process if the proposal does not meet the formatting requirements specified in the RFP; if any of the required information is not provided; or the submitted price is found to be excessive or inadequate as measured by criteria stated in the RFP. Proposals declared non-responsive, will not receive further consideration.

2.5.2 EVALUATION OF PROPOSALS

All responsive proposals will be evaluation against the stated criteria. However, this does not preclude the Department from any discussions/negotiations or requests for a best and final offer that it may deem necessary to assist in determining the Vendor. In scoring against stated criteria, the Department may consider such factors as accepted industry standards and a comparative evaluation of all other qualified RFP responses in terms of differing price, quality, and contractual factors to determine the most advantageous offering to the Department.

2.5.3 PASSING SCORE

Any proposal that fails to achieve a passing score for any part/section for which a passing score is indicated will be eliminated from further consideration.

2.6 DISCUSSION/NEGOTIATION AND/OR ORAL PRESENTATION/PRODUCT DEMONSTRATION

After receipt of all proposals and prior to the determination of the award, the Department may initiate discussions with one or more Vendors should clarification or negotiation be necessary. Vendors may also be required to make an oral presentation and/or product demonstration to clarify their RFP response or to further define their offer. In either case, Vendors should be prepared to send qualified personnel to **Montgomery, Alabama**, to discuss technical and contractual aspects of the proposal. Oral presentations and product demonstrations, if requested, shall be at the Vendor’s expense.

2.7 BEST AND FINAL OFFER

The Department reserves the right to request a “best and final offer” for this RFP based on price/cost alone, from one or more Vendors if additional information is required to make a final decision. Vendors may be contacted asking that they submit their “best and final offer,” which must include any and all discussed and/or negotiated changes.

2.8 PUBLIC REQUESTS FOR INFORMATION

All information received in response to this RFP, including copyrighted material, is deemed public information and will be made available to the public upon requests shortly after the signing of a contract(s) with the exception of: (1) trade secrets meeting the requirements of the Alabama Trade Secrets Act, that have been properly marked, separated, and documented; and (2) any financial information requested by the Department, unless prior written consent has been given by the Vendor.

2.9 COST OF PREPARING A PROPOSAL

The Department is not liable for any expense incurred by the Vendor in the preparation and presentation of their proposal or any other costs incurred by the Vendor prior to execution of a contract. All costs are the responsibility of the Vendor.

2.10 DEPARTMENT'S RIGHTS RESERVED

While the Department has every intention to award a contract as a result of this RFP, issuance of the RFP in no way constitutes a commitment by the Department to award and execute a contract. Upon determination such actions would be in its best interest, the Department, in its sole discretion, reserves the right to the following:

2.10.1 PRE-SELECTION DISCRETION

The Department reserves the right at its sole discretion, at any time and for any reason, to reject any or all of the proposals submitted in response to this RFP, or to cancel this RFP, if it is deemed by the Department to be in the its best interest to do so.

2.10.2 POST-SELECTION DISCRETION

Upon selection of a proposal, the Department reserves the right, at its sole discretion, at any time and for any reason, to change its decision with respect to the selection and to select another proposal, or to cancel the RFP, if it is deemed by the Department to be in its best interest to do so.

2.10.3 WAIVERS

Notwithstanding the amendment provisions otherwise set forth herein, the Department reserves the right at its sole discretion, to waive any minor irregularity in an otherwise valid proposal which would not jeopardize the overall program and to award a contract on the basis of such a waiver in the event the Department determines that such award is in the best interest of the Department. Minor irregularities are those which will not have a significant adverse effect on the overall program cost or performance.

2.10.4 NEGOTIATIONS

The Department reserves the right to negotiate with any vendor whose proposal is within the competitive range, as specified in the RFP with respect to technical plan and cost, as well as to select a vendor other than the vendor offering the lowest price.

2.10.5 ADOPTION OF IDEAS

The Department reserves the right to adopt to its use all or any part, of a vendor's proposal and to use any idea or all ideas presented in a proposal.

2.10.6 ORAL PRESENTATIONS

The Department reserves the right to require some or all of the vendors to provide oral presentations of their proposals.

2.10.7 AMENDMENTS

The Department reserves the right to amend the RFP. Except as provided above with respect to "WAIVERS" made by the Department, all amendments to the RFP will be made by written addendum issued by the Department and posted to the web at www.dhr.state.al.us under this RFP link.

2.10.8 NO GUARANTEE OF CONTRACT

Selection of a proposal shall not be binding upon the Department and may or may not, at the Department's sole discretion, result in the Department entering into a contract with the vendor if it is in the best interest of Department not to proceed with contract execution.

2.10.9 RIGHT TO INVESTIGATE AND REJECT

The Department may make investigations as deemed necessary to determine the ability of the Vendor to perform the services specified. The Department reserves the right to reject any proposal if the evidence submitted by, or investigation of, the Vendor fails to satisfy the Department that the Vendor is properly

qualified to carry out the obligations of the contract. This includes the Department's ability to reject the proposal based on negative references.

2.10.10 DISCLAIMER

Issuance of this RFP does not constitute a commitment by the Department to select any proposal submitted in response to the RFP, or to award a contract to any vendor who responds to this RFP.

Note: All contracts awarded by this Department are subject to review and approval by the Legislative Oversight Committee and the Governor's Office.

SECTION 3: SCOPE OF PROJECT

3.0 PROJECT DESCRIPTION

Case Aide services are general household support activities designed to strengthen a family's ability to provide a safe, nurturing home environment for children. This support may be provided in a child's own home or the home of a relative or may be provided to support reunification of a child(ren) with parents or relatives. Tasks may include assistance with meal preparation, shopping, bill paying, money management, laundry, housekeeping, use of public transportation, arranging and supervising visits between children and their parents or relatives, assisting social worker in arranging and providing needed services, providing parent education; conducting home visits and/or other similar activities as outlined in the family's Individualized Service Plan.

The Department is soliciting proposals for the delivery of statewide case aide services to children and families in all counties of the state of Alabama. Contracted case aides will be required to report daily to the individual county offices of the Department of Human Resources to which they will be assigned. This procurement is seeking to fill one hundred and two (102) full-time equivalents (FTE) case aide positions to provide **statewide case aide services** to children and families, through individual county offices of the Department of Human Resources. Proposals must demonstrate the vendor's ability to staff, supervise and serve all of Alabama's 67 counties.

3.1 DISTRICT ALLOCATIONS OF CASE AIDES

The following is a tentative breakdown of the total number of aides per district for the state:

District 1 – 7.5 Aides

Houston, Geneva, Covington, Coffee, Dale, Henry, Barbour, and Pike Counties;

District 2 – 12 Aides

Mobile, Baldwin, Escambia, Washington, Choctaw, Clarke, and Monroe Counties;

District 3 – 14.5 Aides

Conecuh, Butler, Crenshaw, Wilcox, Dallas, Autauga, Lowndes, and Montgomery Counties;

District 4 – 6 Aides

Coosa, Tallapoosa, Chambers, Elmore, Macon, Lee, Russell, and Bullock Counties;

District 5 – 8.5 Aides

Marengo, Sumter, Greene, Hale, Perry, Bibb, Pickens, and Tuscaloosa Counties;

District 6 – 17 Aides

Jefferson, Shelby, Chilton, Talladega, Clay, Randolph, and Cleburne Counties;

District 7 – 7 Aides

Lamar, Fayette, Walker, Blount, Cullman, Winston, and Marion Counties;

District 8 – 15 Aides

St. Clair, Calhoun, Etowah, Cherokee, DeKalb, and Marshall Counties; and

District 9 – 14.5 Aides

Jackson, Madison, Morgan, Limestone, Lawrence, Lauderdale, Colbert, and Franklin Counties.

3.1.1 COUNTY ALLOCATIONS OF CASE AIDES

The table below is a breakdown of the current allocation per county, however these numbers may fluctuate during the contract period depending on the demand and county utilization of the service.

CASE AIDE DISTRIBUTION	
<i>Total 102 Case Aides Distributed</i>	
COUNTY	To .5
AUTAUGA	0.50
BALDWIN	1.00
BARBOUR	0.50
BIBB	0.50
BLOUNT	1.00
BULLOCK	0.00
BUTLER	1.00
CALHOUN	4.00
CHAMBERS	0.50
CHEROKEE	0.50
CHILTON	1.50
CHOCTAW	0.50
CLARKE	0.50
CLAY	0.50
CLEBURNE	1.00
COFFEE	0.50
COLBERT	0.50
CONECUH	0.50
COOSA	0.50
COVINGTON	0.00
CRENSHAW	0.50
CULLMAN	2.00
DALE	1.00
DALLAS	2.00
DE KALB	1.00
ELMORE	1.00
ESCAMBIA	1.00
ETOWAH	4.50
FAYETTE	1.00
FRANKLIN	0.50
GENEVA	1.00
GREENE	0.50
HALE	0.00
HENRY	0.00
HOUSTON	4.00
JACKSON	1.50
JEFFERSON	8.00
LAMAR	0.50
LAUDERDALE	2.00
LAWRENCE	1.00
LEE	1.00
LIMESTONE	1.00

LOWNDES	0.50
MACON	0.50
MADISON	6.00
MARENGO	1.00
MARION	0.50
MARSHALL	3.00
MOBILE	8.00
MONROE	0.50
MONTGOMERY	9.00
MORGAN	2.00
PERRY	0.50
PICKENS	0.50
PIKE	0.50
RANDOLPH	1.00
RUSSELL	2.00
SAINT CLAIR	2.00
SHELBY	1.00
SUMTER	0.50
TALLADEGA	4.00
TALLAPOOSA	0.50
TUSCALOOSA	5.00
WALKER	1.50
WASHINGTON	0.50
WILCOX	0.50
WINSTON	0.50
STATEWIDE	102.00

3.2 PROGRAM SPECIFICATIONS

3.2.1 POPULATION TO BE SERVED

The population to be served by the case aides must be open cases with the Department of Human Resources. The cases may be child protective service cases or foster care cases, when re-unification with the family may be imminent or is the permanency goal. The local Department of Human Resources may consider other cases for referral. Case aides may spend no more than 30% of their time in serving children in foster care caseloads.

3.2.2 CASE ASSIGNMENTS

One hundred and two (102) full-time case aide positions will be filled to serve the state. It is expected that each case aide will serve a maximum of fifteen (15) families; however, the number of cases per aide will vary depending on the frequency and type of service being provided by the aide. For example, an aide providing homemaker-type services may have a maximum of twelve (12) cases; whereas, an aide providing lesser services, such as transportation, would be expected to have a higher number of cases, not to exceed twenty (20) cases.

3.2.3 SERVICE DESCRIPTION AND REQUIRMENTS

3.2.3.1 SERVICE LOCATION

Case aide services will be provided to individual families in various locations, depending upon the family's Individualized Service Plan (ISP). The plan may require visits in the family's home, a school, the community or various other places. Case aides will work from the county offices of the Department of Human Resources but will be supervised by the contracting agency.

3.2.3.2 TRANSPORTATION

Case aide services often require transportation; case aides must have reliable transportation, automobile insurance and a valid driver's license. Reimbursement for mileage will be paid to aides by the vendor and should be a part of their operational budget. Reimbursement for travel must not exceed the rate allowed by the state.

3.2.3.3 CASE ELIGIBILITY AND REFERRALS

The county Department of Human Resources will manage each case that is referred to the aides for services. The social worker managing the case will: determine the eligibility requirements based upon the family's Individualized Service Plan; and make appropriate referrals to aides for services (identifying the services to be provided and the frequency as identified in the ISP). Aides will provide monthly reports on each assigned case to the social worker managing the case.

3.2.3.4 TYPES OF SERVICES

Proposals must indicate how the case aide positions will meet the following job requirements:

Examples of services include but are not limited to the following:

- 1) coaching and modeling caregivers with domestic chores, such as, routine cleaning, sweeping, dusting, changing bed linens, defrosting and cleaning appliances, ironing, mopping, shopping and meal preparation;
- 2) transporting family members to the laundry, pharmacy, physician, utility companies, etc.;
- 3) coaching and modeling support and advocacy for children's educational success and supporting caregivers in encouraging school attendance and educational advocacy;

- 4) instructing, modeling for, and supporting caregivers in being responsive and stimulating to their children, in providing safe limits, in maintaining healthy families and in offering developmentally appropriate routines
- 5) providing family support in other areas, as directed by the family's ISP;
- 6) coaching and modeling for caregivers in the development and restoration of basic skills necessary to function independently in the community;
- 7) instructing children in the development of basic living skills;
- 8) attending and participating in ISP and Individualized Educational Program (IEP) meetings and court hearings;
- 9) arranging the implementation of the visitation plan outlined in the ISP, as well as supervising, modeling appropriate parenting skills and providing feedback;
- 10) transporting children to and from placements, other than initial placements;
- 11) attending medical or other appointments with the child and/or family;
- 12) preparation of weekly reports and paperwork for Medicaid Rehabilitative Services claiming, when applicable;
- 13) providing specific information and feedback to DHR social work staff regarding family dynamics, child safety, medical and other relevant information, and evaluation of case outcomes.

3.2.3.5 NUMBER OF CASE AIDE STAFF

One hundred and two (102) Case Aides will be hired statewide. A committee of state and county DHR staff will assess county demand and utilization quarterly to determine if any re-allocation of case aides is necessary.

3.2.4 STAFF QUALIFICATIONS

Vendors must ensure that all case aides meet the following qualifications, all case aides must:

- 1) have a high school diploma or GED equivalent;
- 2) be able to read and write;
- 3) have working knowledge of the basic tasks of housekeeping and household management;
- 4) have a basic understanding of the R.C. Consent Decree, child development issues, child and family behaviors and corrective interventions through 10 hours of pre-service training and 5 hours of annual in-service training;
- 5) have the ability to understand and follow simple oral and written instructions and to provide services based upon the families' Individualized Service Plan;
- 6) have the ability to demonstrate emotional maturity in respecting confidentiality and showing a respectful attitude toward families;
- 7) be in good health;
- 8) have a reliable automobile, a valid driver's license and proof of automobile insurance;
- 9) have the ability to maintain statistical records and to write narrative accounts of services provided;
- 10) be able to work independently on an established schedule;
- 11) complete a probationary period as established by the vendor;
- 12) not be related to the family for whom services are being provided;
- 13) have a FBI, ABI and CAN Central Registry clearances.

SECTION 4: PROPOSAL FORMAT AND INSTRUCTIONS

4.0 PROPOSAL REQUIREMENTS

Proposals must meet all requirements as specified in this RFP. Proposals must incorporate the specified structure and format; must be clear, detailed, and complete as to fully demonstrate that the prospective Vendor has a thorough understanding of the requirements for providing the services for this procurement. Statements that the Vendor understands, acknowledges, or can comply with the requirements/specifications, and statements paraphrasing the specifications are considered inadequate as are phrases such as “industry standards will be adhered to” and/or “standard procedures will be implemented”, or “research-based models will be used”. Proposals must clearly and adequately describe the concepts and methodologies to be implemented by the Vendor. Information must be clear, succinct, and easily understandable.

4.1 COMPLETENESS OF PROPOSALS

Selection(s) and award(s) will be based on the Vendor’s proposal and other items described in this RFP. Proposals should not include references to information located elsewhere, such as Internet websites. Information or materials presented by Vendors outside the formal response or subsequent discussion/negotiation or “best and final offer,” if requested, will not be considered, will have no bearing on any award, and may result in the Vendor being disqualified from further consideration.

4.2 PROPOSAL FORMAT

Proposals must be single-spaced, incorporating 1-inch margins, typed using Times New Roman (font), size and printed/copied onto standard (8½ x 11) white typing/copier paper. *Double space between paragraphs.* All proposals must include labeled tabs that correspond with the bolded sections and subsections to which the information pertains. Vendors should avoid the use of elaborate presentations and binding materials beyond that sufficient to present complete and effective proposals.

4.2.1 COVER SHEET

The first page of each proposal must be the completed **Cover Sheet** (RFP cover sheet) with an original ink signature of the person(s) legally authorized to bind the vendor to the proposal. **Proposals without signatures of persons legally authorized to bind the vendor to the proposal will be rejected.** The cover sheet must also include the name of the contact person and contact information of the person authorized to act on behalf of the vendor (do not number this page). Vendors must also provide their Federal Employer Identification Number. The vendor must denote the original proposal and copies by placing a check in the appropriate box on the cover sheet.

4.2.2 TABLE OF CONTENTS

The Cover Sheet should be followed by the “**Table of Contents**”, which should list all sections, subsections and page numbers.

4.2.3 TAXPAYER IDENTIFICATION NUMBER

The Table of Contents should be followed by the completed and signed “**Request for Taxpayer Identification Number**” form (*Appendix C*). All items on this form must be completed. (Do not number this page).

4.2.4 LICENSES/CERTIFICATES/CREDENTIALS

The Request for Taxpayer Identification Number form should be followed by a copy of all required **Licenses, Certificates, and/or Credentials** or a copy of a completed license application form submitted by the deadline specified in this RFP.

4.2.5 TECHNICAL PROPOSAL

Copies of Licenses/Certificates/Credentials should be followed by the **Technical Proposal**. Numbering of the proposal pages should begin with page 1 of the Technical Proposal. Page numbers should be placed in the left corner of the bottom margin. The Technical Proposal **must not exceed fifty (50) pages**, and must prescribe to sections **4.2.5.1** through **4.2.5.4.8** below:

4.2.5.1 VENDOR QUALIFYING INFORMATION

4.2.5.1.1 Vendor Profile and Experience

The Vendor must specify how long it has been in the business of providing services similar to those requested in this RFP and under what company name. The Vendor must list all names it has used when conducting business. The Vendor must explain their expertise or history in the provision of such services or identify a nationally recognized model that has proven to be successful that will be used in the provision of services under this RFP. The Vendor must provide an organizational profile including: number of employees, and form of business (e.g. individual, sole proprietor, corporation, non-profit corporation, limited liability company.).

4.2.5.1.2 References

The proposing Vendor must provide a minimum of three (3) references for which it has performed similar services. In addition, the Vendor must provide a list, if any, of all current and past contracts with the Department and other state agencies including colleges/universities within the previous three-year period. These references may be contacted to verify Vendor's ability to perform the contract. The Department reserves the right to use any information or additional references deemed necessary to establish the ability of the Vendor to perform the conditions of the contract. Negative references may be grounds for proposal disqualification.

For each reference, the Vendor must provide: company/agency name of the reference; location where the services were performed (city, state); primary and secondary contact name, title, telephone number, and e-mail address; a brief description of the project; description of the Vendor's role in the project; and the start and end date of each project.

4.2.5.1.3 Project Staff/Resumes/Job Descriptions

Vendor must describe how it will ensure that all project staff meet all staffing requirements and qualifications. Vendors must submit a resume or job description detailing the level of education, experience, training, skills, etc. which emphasizes previous experience in the service area as described in this RFP for all key personnel who will be involved with the proposed project. The Vendor should indicate that it has sufficient staff to perform the services required in this RFP, if sufficient staff is not currently available, describe how staff will be obtained to provide the services and the timeline for obtaining the needed staff. Indicate the number of anticipated staff for each position title. List all professional licenses held by the vendor.

4.2.5.1.4 Staff Performance Evaluations and Training

Vendors must describe its staff development program regarding orientation, on-going staff evaluation and training that will be implemented throughout the contract period to ensure delivery of effective services that adhere to the Department's required performance standards.

4.2.5.1.5 Background Checks

Describe in detail the steps that the Vendor will take to ensure that all staff, regardless of level, have not been the subject of any incident or investigation which would call into question the propriety of that employee's working with this population of children. Provide documentation that each employee has had a criminal background check. Describe your organization's general procedure for addressing occurrences when an incident or allegation is reported, founded or unfounded.

4.2.5.2 VENDOR FINANCIAL STABILITY

Vendors must provide documentation of financial responsibility and stability by: providing financial statements, preferably audited, for the *three (3)* consecutive years immediately preceding the issuance of this RFP; and providing copies of any quarterly financial statements that have been prepared since the end of the period reported by your most recent annual report.

4.2.5.3 METHOD OF PROVIDING SERVICES

4.2.5.3.1 Service Delivery Approach

The Vendor must provide a detailed description of the work plan and the methods to be used that will convincingly demonstrate to the Department what the Vendor intends to do, the number of children/youth and/or families to be served, the timeframes necessary to accomplish the work, and how the work will be accomplished. The Vendor must identify the county/region to be served by the proposed project.

(a) 4.2.5.3.1 Supervision of Case Aides

The Vendor must describe how direct supervision will be provided to the case aides in all counties of the state. In order to bill for Medicaid Rehabilitative Services, supervisors must possess at least one of the following qualifications: 1) meets the professional qualifications for Child and Adolescent Services/Adult Protective Services; 2) is employed by an agency or entity under contract to serve the target population of the Department of Human Resources; and 3) meets the approved equivalency for Social Service Caseworker or above. Vendors must describe how it will ensure that supervisors meet the aforementioned qualifications.

(b) 4.2.5.3.1 Reports

Progress reports on the families for whom services will be provided must be recorded weekly by each aide. Aides must submit a copy of these reports monthly to the county DHR supervisor, which will be maintained in the families' service records. The original reports will be sent to the supervisor of the contracting agency and will be used in the billing process. The contracting agency will send a monthly summary report and a yearly summary report to the State Department of Human Resources for tracking and monitoring purposes. Progress reports will be submitted using a format that is approved by DHR.

(c) 4.2.5.3.1 Billing Methodology

The vendor must bill all services, provided as a result of this RFP, which are Medicaid reimbursable. The vendor must maintain records supporting the delivery of said services. The method for billing for Medicaid Rehabilitative Services will be determined by state DHR if and when a contract is issued based upon this RFP. The vendor must receive from the county DHR at the time of referral an Intake Evaluation completed by county DHR staff and a copy of the family's ISP. All updates on the ISP must also be received quarterly. Vendors must meet these requirements to be eligible for Medicaid Rehab reimbursement.

4.2.5.3.2 Start-up Plan

The Vendor must include a detailed project schedule that is comprised of the detailed work plan for the entire project. This section should also include any proposed additions to the tasks outlined in the *Section 3: Scope of Work*.

4.2.5.3.3 Assessment of Benefits and Impact

Describe the process that Vendor will use to assess the proposed services to determine if the expected benefits and their impact have occurred. Include on-going plans to continuously assess and modify services to better meet the needs of the target population. The assessment methodology should provide the Department with meaningful indicators that funded projects are making satisfactory progress toward desired goals.

(a) 4.2.5.3.3 Evaluation Process

Proposals must outline an evaluation process that will ensure quality assurance (QA) in the services provided. Monthly reports must be submitted to State DHR as to the number of families served, services provided, dates,

frequency, etc. The annual report to be submitted by the end of the fiscal year must contain cumulative information from the monthly reports, as well as the program's self-assessment of the quality of services provided and the outcomes achieved in its service delivery. The QA process must include satisfaction surveys completed by families served, DHR staff and others, as deemed necessary. State DHR will also conduct random surveys of county offices to determine the satisfaction of services provided pursuant to any contract developed as a result of this RFP.

4.2.5.3.4 Office Location

The Vendor must provide the physical address of the Vendor's office that will be responsible for maintaining records and performing services under a contract with the Department in the event the Vendor becomes the Contractor.

4.2.5.3.5 Past and Present Contractual Relationships with the Department

The Vendor shall describe any past or present contractual relationship it may have or have had with the Department or any other state agency during the past three years. If the Vendor, its predecessor, or any party named in the Vendor's responses to this Section has contracted with any department within the State Government during the past three years, identify the contract number and/or other information available to identify such contract(s). If no such contracts exist, so declare. If any party named in the Vendor's response to this RFP was an employee of the State in the past two years, identify the individual(s) by name, state agency by which employed, job title of position held with the State, and separation date. If no such relationship exists, so declare.

4.2.5.3.6 Contract Performance

If the Vendor, or any proposed Subcontractor, has had a contract terminated for default during the past five years, all such instances must be described as discussed below. Termination for default is defined as notice to stop performance delivery due to the Vendor's nonperformance or poor performance and the issue was either (a) not litigated due to inaction on the part of the Vendor; or (b) litigated and such litigation determined the Vendor to be in default. Submit full details of all terminations for default experienced by the Vendor during the past five years, including the other party's name, address, and telephone number. Present the Vendor's position on the matter. The Department shall evaluate the facts and may, at its sole discretion, reject the Vendor's Proposal if the facts discovered indicate that completion of a contract resulting from this RFP may be jeopardized by selection of the Vendor. If the Vendor has experienced no such terminations for default in the past five years, so declare. If at any time during the past five years, the Vendor has had a contract terminated for convenience, non-allocation of funds, or any other reason, which termination occurred before completion of all obligations under the initial contract provisions, describe fully all such terminations including the name and address of the other contracting party and the circumstances surrounding the termination. If no such early terminations have occurred, so declare.

Failure to report on the foregoing or if the information furnished is determined to be inaccurate, whether by omission or commission, shall result in rejection of the Vendor's Proposal.

4.2.5.4 VENDOR CERTIFICATIONS

Vendors must submit a statement attesting that they warrant and represent to the Department that the vendor accepts and agrees with all certifications and terms and conditions of this RFP. Further, by submitting a response to this RFP, the vendor certifies to the Department that they are legally authorized to conduct business within the State of Alabama and to carry out the services described in this document.

4.2.5.4.1 Revolving Door Policy

Vendors must attest that neither the vendor nor any of the vendor's trustees, officers, directors, agents, servants or employees is a current employee of the Department, and none of the said individuals have been employees of the Department in violation of the revolving door prohibitions contained in the state of Alabama ethics laws.

4.2.5.4.2 Debarment

Vendors must attest that neither the vendor nor any of the vendor's trustees, officers, directors, agents, servants or employees (whether paid or voluntary) is debarred or suspended or otherwise excluded from or ineligible for participation in federal assistance programs under Executive Order 12549, "Debarment and Suspension."

4.2.5.4.3 Standard Contract

The vendor must agree to the use of the Department's standard contract document. The vendor will further comply with all the terms and conditions of that document, including, but not limited to, compliance with the Title VI of the Civil Rights Act of 1964, the Rehabilitation Act of 1973, as amended, the Americans with Disabilities Act, Alabama Act No. 2000-775 (governing individuals in direct service positions who have unsupervised access to children), the Health Insurance Portability and Accountability Act of 1996 (HIPPA) as applicable, and all other federal and state laws, rules and regulations applicable to receiving funds from the Department to carry out the services described in this RFP. Further, any contract executed pursuant to the RFP must be subject to review by the Department's legal counsel as to its legality of form and compliance with State contract laws, terms and conditions, and may further be subject to review by the Alabama Legislative Contract Review Committee, Examiners of Public Accounts, the State Finance Director and the Office of the Governor.

4.2.5.4.4 Charitable Choice (applies to faith-based organizations only)

The vendor must attest that funds received as a result of this procurement will not be used for sectarian instruction, worship, proselytizing or for any other purely religious activities that are not directed toward the secular social goals related to the services described in this RFP. The vendor must agree to serve all eligible members of the public without regard to their religious beliefs and, further, must not require clients' active participation in any religious practice. (In carrying out the said services, the vendor will remain independent from federal, state and local governments; will retain control over the expression of its religious beliefs, and is NOT required to remove its religious writings or symbols or to alter its internal governance as a condition of doing business with the Department.)

4.2.5.4.5 Financial Accounting

Vendors must agree that the vendor's accounting system will be consistent with General Accepted Governmental Accounting Principles (GAAP). The vendor must maintain sufficient financial accounting records documenting all funding sources and applicable expenditure of all funds from all sources.

4.2.5.4.6 Vendor Work Product

The Vendor must attest that the proposal submitted in response to this document is the work product of said vendor. If the proposal is determined not to be the work product of the vendor, the proposal may, at the Department's sole discretion, be rejected.

4.2.5.4.7 Infectious Disease Statement

Vendors must maintain a statement on file certifying and verification that any employee providing these services will be free from infectious diseases.

4.2.5.4.8 Adherence to HIPPA Requirements

Vendors must ensure that staff will maintain confidentiality regarding all cases and will adhere to all requirements of the Health Insurance Portability and Accountability Act (HIPAA).

4.2.5 ATTACHMENTS

Vendors must include all applicable forms identified below as attachments (i.e., Attachment A, B, C, etc), applicable forms must follow the Technical Proposal.

4.2.5.1 Legal Status Form

The Technical Proposal must be followed by a copy of the **Legal Status Form**.

4.2.5.2 *Disclosure Statement*

The Legal Status Form must be followed by a completed copy of the **Disclosure Statement**.

4.2.5.3 *Trade Secret Affidavit*

The Disclosure Statement must be followed by a completed copy of the **Trade Secret Affidavit** (*Appendix D*), if applicable.

4.2.5.4 *Proposal Compliance Checklist*

The Disclosure Statement or the Trade Secret Affidavit if applicable must be followed by a completed copy of the **Proposal Compliance Checklist** (*Appendix B*).

SECTION 5: COST PROPOSAL

5.0 COST REIMBURSEMENT

The **Cost Proposal** must be submitted in a separately sealed package. All payments to vendor(s) selected as a result of this procurement will be cost reimbursement. Vendors must complete the *Price Sheets (Appendix E)* when submitting their cost proposals. Vendors must follow the instructions below for completing the price sheets.

5.1 PRICE SHEET INSTRUCTIONS

Each line item in the budget must reflect the **total project cost** for that line item. Page 1 represents a summary of the totals from the remaining pages. All departmental funds are subject to the constraints set forth in the contract, the Contract Compliance Requirements document, all other departmental directives and the instructions set forth herein.

Approval of the budget does not constitute approval to incur any expenses prior to the Department's written approval. For designated budget items, the Department's written approval must be obtained before the expense can be incurred.

PAGE 1

Contract Number: *To be assigned by DHR.*

Taxpayer ID: *Self-explanatory.*

Agency: *Self-explanatory.*

Address: *Self-explanatory.*

Project Title: *Self-explanatory.*

Budget Period: *The period during which the budget will be in effect, July 01, 2006 through July 01, 2007.*

Include the totals for the seven budget sections from pages 2-3. In addition, include the following additional items:

8. **TOTAL PROJECT FUNDING:** Enter the sum of lines 1-7. This should reflect the total amount of funds from all funding sources to be used to fund the project.
9. **LOCAL SHARE:** Enter the total amount of local funds from all non-federal sources (including in-kind contributions) to be used, in whole or in part, to fund the project. Itemize the sources and amounts under the Comments section.
10. **OTHER FEDERAL SHARE:** Enter the total amount of federal funds received from sources other than DHR to be used, in whole or in part, to fund the project. Itemize the sources and amounts under the Comments Section.
11. **MAXIMUM DHR SHARE:** Subtract lines 9 (Local Share) and 10 (Other Federal Share) from line 8 to reflect the maximum DHR share of the total budgeted project funding.
12. **PERCENT DHR SHARE:** Enter the DHR share as a percent of the total project funding by dividing line 11 by line 8.

COMMENTS: Itemize, as applicable, the sources and amounts of all funds represented in lines 9 and 10 above. In addition, include, as applicable, a brief description of each income-generating activity.

NOTE: Contractors must obtain the Department's prior approval to earn program income in the course of administering this project. (See the Contract Compliance Requirements document for additional instructions pertaining to earning and accounting for program income.)

Note: On pages 2 and 3 of the budget form, clearly designate all budget line items that represent costs in which DHR will not participate in whole or in part, (i.e., in-kind costs, unallowable costs, etc.). All costs for the line items so designated must be paid in full with non-DHR funds.

PAGE 2

PERSONNEL: Itemize each type of position separately. In addition, itemize each like position with different annual salary amounts or different percentages of time spent on the project. Attach an additional sheet if necessary (use the same column headings).

- A. **Number of Persons** – List the number of persons having same position, salary, percentage (%) of time worked on project, and months or pay periods to be employed.
- B. **Position** – Identify the Position Title. Designate part-time employees by placing (PT) after position title.
- C. **Gross Salary Per Pay Period** – Show total projected salary per pay period (monthly, biweekly, weekly or hourly rate) and indicate the pay frequency that will be used to pay the employee during the contract period identified for this RFP. Include any anticipated salary increases. When a salary increase is anticipated during budget year, show the position for the number of pay periods at each salary level.
- D. **Percent (%) Time on Project** – Show the percentage of time employee works on this project.
- E. **Pay Periods to be Employed** – Show pay periods to be worked at each salary level.
- F. **Cost** – Multiply A x C x D x E to arrive at the total cost. Total all staff position costs to arrive at personnel subtotal.

Example:

A. Number of Persons	B. Position Description	C. Gross Salary Per Pay Period	D. %Time on Project	E. Pay Periods to be Employed	F. Cost
1	Director	\$900 (Month)	100	8	\$7,200
		950 (Monthly)	100	4	3,800
4	Aides	200 (biweekly)	100	26	20,800
1	Bookkeeper	4.50 (hourly)	50	2080	4,680
Subtotal					\$36,480

Fringe Benefits: Itemize fringe benefit costs and insert the appropriate subtotal.

Total Personnel: Add the subtotals for personnel and fringe benefits.

SUBCONTRACTS: Itemize individually each subcontract for a major component of the contracted program, including, but not limited to, program administration, determining eligibility for services, etc. Attach an additional sheet if necessary and use the same column headings. **All subcontracts require the Department's prior written approval.** (See the Contract Compliance Requirements document for additional instructions.)

Do NOT include costs associated with maintenance agreements, lease agreements, financial audits, data processing services, contract labor or other services for which there is a specific budget line item.

PAGE 3

TRAVEL: Refers to staff travel. In-state refers to travel within the State of Alabama, directly related to, and required in the performance of an employee's duties under the current contract. **Eligible in-state travel will be reimbursed at the authorized State mileage and per diem rates in effect at the time the cost is actually incurred.** (Rates are available from the Department upon request.) **All out-of-state travel requires the Department's prior written approval.** Only reasonable and actual expenses incurred for out-of-state travel are allowable.

SPACE:

Telephone: Actual costs are to be budgeted when used solely for the contract program. When the contract program is part of a larger operation, the monthly charges should be prorated based on sound accounting principles. A long distance log must be maintained to document long-distance charges to be billed to the program. **Do NOT include deposit fees or the cost of purchasing telephones or telephone networks or systems.**

Rent/Lease: Rent is allowable pursuant to federal guidelines. Three statements of comparable rent (FM-04) are required and the lowest rent statement will be used as the maximum allowable rent. These statements should be maintained on file at the agency. Rent should be prorated according to the square footage occupied by overall operation when the project is only one component of a larger program. The above form is available from the Department upon request.

Use Allowance: To be used when the program occupies a building that it owns. A Use Allowance – Space form (FM-05) and three comparable rent statements (FM-04) are required and should be maintained on file at the agency. Copies of these forms are available from the Department upon request.

Utilities: Include all utilities associated with power, gas and water. These costs should be prorated on the same basis as rent. **Do not include such costs as Cable TV, cell phone, telephone or Internet access.**

Upkeep (buildings/grounds): Include costs for persons such as a janitor, lawn-keeper or maintenance person when the person is not otherwise an employee. **Do NOT include any costs that are the responsibility of the owner or lessor.**

Minor Repairs: Include only minor repairs. An example of a minor repair is replacing broken window panes or painting an office. Renovations such as constructing a new wall, remodeling a room, etc., are not allowable. **Do NOT include any costs that are the responsibility of the owner or lessor. All repairs to facilities require the Department's prior written permission, regardless of the cost of the repair.**

Other (specify): Items must not otherwise be the responsibility of the property owner or lessor. Itemize and be specific.

SUPPLIES:

NOTE: *COMPETITIVE BIDS MAY APPLY.*

Office Supplies: Include general office supplies, for example, pencils, paper, carbon paper, erasers, etc.

Custodial Supplies: Include only supplies related to janitorial/custodial work, for example, cleaning supplies, mops, brooms, dust pans, etc.

Other (specify): Include an itemized listing and detailed description of each item. Attach a separate itemized listing as needed.

EQUIPMENT: The Department's prior written approval is required before procuring any equipment with funds received under the contract, regardless of the cost. Attach an itemized listing for equipment represented in the following line items and include detailed identifying information for each item, for example, make, model, serial number, model number, cost, inventory number and the name of the user.

Rental/Lease: Include, as applicable, all costs associated with the rental or lease of equipment. (An itemized list must be attached, as described above.)

Use Allowance: A use allowance for equipment owned by the contractor and used in the contract program may be included in lieu of the depreciation allowance only if the equipment is not purchased in whole or in part with contract funds or with other Federal/State funds. A FM-06 "Use Allowance – Equipment" form is required and should be maintained on file by the contractor for all use allowances for equipment billed under the contract. No use allowance is allowable on any equipment item that is fully depreciated.

Depreciation: A depreciation allowance, as described in the Contact Compliance Requirements document, may be included in lieu of a use allowance for equipment owned by the contractor and used in the contract program only if the equipment is not purchased in whole or in part with contract funds or with other Federal/State funds. Reference the Contract Compliance Document for the appropriate methodology for calculating the applicable amount of depreciation. No depreciation is allowable on any equipment item that is fully depreciated. (An itemized list must be attached, as described above.)

Repairs: Include reasonable costs associated with repairs related to equipment used by the program. **Repairs that equal or exceed \$200 require the Department's prior written permission.** (An itemized list must be attached, as described above.)

Other (specify): Itemize, as applicable, and be specific. (Attach a separate sheet as needed.)

OTHER:

Liability Insurance: Include only the premium costs for insurance policies required under the contract with the Department.

Vehicle Maintenance: Include only the costs for operating and maintaining vehicles owned by the agency that are used in the course of performing services under the contract. Include only routine costs such costs as gas, oil, etc.

Printing: Include the cost incurred in the course of performing services under the contract.

Indirect Cost: Include all indirect costs allocable to the Department. This must reflect anticipated indirect costs. **(General administrative fees are not allowable.) Attach a detailed itemized listing that describes each cost item that makes up this line item.**

Other (specify): Include miscellaneous costs such as postage, audit (requires the Department's prior approval) bank stop payment fees, etc., **but do not include any item for which a space is provided elsewhere in the budget.**

NOTE: *Funds designated within budget line items 1 (personnel), 2 (subcontracts) or 6 (equipment) may not be exceeded without the Department's prior written approval. These type changes, as well as changes in the budgeted salary amounts, will require a budget amendment.*

Funds within budget line items 3 (travel), 4 (space), 5 (supplies) and 7 (other) may be transferred among those same line items as needed in order to accommodate fluctuations in actual expenditures, provided there is no change in the overall budget total. These type changes do not require a budget amendment, unless they would result in the overall budget total being exceeded.

SECTION 6: EVALUATION CRITERIA

6.0 EVALUATION CRITERIA

The evaluation committee will review and evaluate the proposals according to the following criteria based on a **maximum possible value of 1,000 points**. Proposals failing to meet the requirements of this RFP may be deemed non-responsive or subject to point deductions. The point value assigned to each component of the **Technical Proposal and Cost Proposal** will be based on the following scoring scale:

Category	RFP Section	Point Value
Vendor Qualifying Information		30% of points for a possible 300 points
A. Vendor Profile and Experience	4.2.5.1.1	150
B. References	4.2.5.1.2	Pass/Fail
C. Project Staff/Resumes/Job Descriptions	4.2.5.1.3	50
D. Staff Performance Evaluations and Training	4.2.5.1.4	50
E. Background Checks	4.2.5.1.5	25
Vendor Financial Stability		Pass/Fail
A. Vendor Financial Stability	4.2.5.2	Pass/Fail
Method of Providing Services		45% of points for a possible 450 points
A. Service Delivery Approach	4.2.5.3.1	300
B. Start-up Plan	4.2.5.3.2	25
C. Assessment of Benefits and Impact	4.2.5.3.3	100
D. Office Location	4.2.5.3.4	25
E. Past and Present Contractual Relationships with the Department	4.2.5.3.5	Pass/Fail
F. Contract Performance	4.2.5.3.6	Pass/Fail
G. Vendor Certifications	4.2.5.4.	Pass/Fail
Cost Proposal		25% of points for a possible 250 points
A. Cost Proposal	5.0	250

APPENDIX A: STANDARD TERMS AND CONDITIONS

By submitting a response to request for proposal or acceptance of a contract, the vendor agrees to acceptance of the following Standard Terms and Conditions and any other provisions that are specific to this solicitation or contract.

ACCEPTANCE/REJECTION OF PROPOSALS: The Department reserves the right to accept or reject any or all proposals, wholly or in part, and to make awards in any manner deemed in the best interest of the Department. Proposals will be firm for 180 days, unless stated otherwise in the text of the request for proposal.

ACCESS AND RETENTION OF RECORDS: The contractor agrees to provide the Department, Legislative Auditor, or authorized agents, access to any records necessary to determine contract compliance. The contractor agrees to create and retain records supporting the services rendered or supplies delivered for a period of three years after either the completion date of the contract or the conclusion of any claim, litigation, or exception relating to the contract taken by the Department or third party.

ALTERATION OF SOLICITATION DOCUMENT: In the event of inconsistencies or contradictions between language contained in the Department's solicitation document and a vendor's response, the language contained in the Department's original solicitation document will prevail. Intentional manipulation and/or alteration of solicitation document language will result in the vendor's disqualification and possible debarment.

ASSIGNMENT, TRANSFER AND SUBCONTRACTING: The contractor shall not assign, transfer or subcontract any portion of the contract without the express written consent of the Department.

AUTHORITY: The following request for proposal, limited solicitation, or contract is issued under authority of Section 41-16-72 of the Alabama Code (Act 2001-956, 2001 3rd Sp. Sess., p 817, §1.)

COMPLIANCE WITH LAWS: The contractor must, in performance of work under the contract, fully comply with all applicable federal, department, or local laws, rules and regulations, including the Civil Rights Act of 1964, the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990, and Section 504 of the Rehabilitation Act of 1973. Any subletting or subcontracting by the contractor subjects subcontractors to the same provision. The contractor agrees that the hiring of persons to perform the contract will be made on the basis of merit and qualifications and there will be no discrimination based upon race, color, religion, creed, political ideas, sex, age, marital status, physical or mental disability, or national origin by the persons performing the contract.

DEBARMENT: The contractor certifies, by submitting this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction (contract) by any governmental department or agency. If the contractor cannot certify this statement, attach a written explanation for review by the Department.

DISABILITY ACCOMMODATIONS: The Department does not discriminate on the basis of disability in admission to, access to, or operations of its programs, services, or activities. Individuals, who need aids, alternative document formats, or services for effective communications or other disability-related accommodations in the programs and services offered, are invited to make their needs and preferences known to this office. Interested parties should provide as much advance notice as possible.

FACSIMILE RESPONSES: Facsimile responses will not be accepted for requested for proposals or limited solicitations.

FAILURE TO HONOR PROPOSAL: If a vendor to whom a contract is awarded refuses to accept the award (contract) or, fails to deliver in accordance with the contract terms and conditions, the Department may, in its discretion, suspend the vendor for a period of time from entering into any contracts with the Department.

FORCE MAJEURE: Neither party shall be responsible for failure to fulfill its obligations due to causes beyond its reasonable control, including without limitation, acts or omissions of government or military authority, acts of God, materials shortages, transportation delays, fires, floods, labor disturbances, riots, wars, terrorist acts, or any other causes, directly or indirectly beyond the reasonable control of the non-performing party, so long as such party is using its best efforts to remedy such failure or delays.

HOLD HARMLESS/INDEMNIFICATION: The contractor agrees to hold the Department, its elected and appointed officials, agents, and employees, while acting within the scope of their duties as such, harmless from and against all claims, demands, causes of action of any kind or character, including the cost of defense thereof, arising in favor of the contractor's employees or third parties on account of bodily or personal injuries, death, or damage to property arising out of services performed or omissions of services or in any way resulting from the acts or omissions of the contractor and/or its agents, employees, representatives, assigns, subcontractors, except for acts of omission resulting from the sole negligence of the Department, under this agreement.

LATE PROPOSALS: Regardless of cause, late proposals will not be accepted and will automatically be disqualified from further consideration. It shall be solely the vendor's risk to assure delivery at the designated office by the designated time. Late proposals will not be opened and may be returned to the vendor at the expense of the vendor or destroyed if requested.

REGISTRATION WITH THE PURCHASING DIVISION: Any business intending to transact business in Alabama must register with the Alabama Purchasing Division by completing the Vendor Disclosure Statement. A copy of the Vendor Disclosure Statement can be downloaded from the Purchasing website at www.purchasing.alabama.gov.

SEVERABILITY CLAUSE: A declaration by any court, or any other binding legal source, that any provision of the contract is illegal and void shall not affect the legality and enforceability of any other provision of the contract, unless the provisions are mutually dependent.

SOLICITATION DOCUMENT EXAMINATION: Vendors must promptly notify the Department of any ambiguity, inconsistency, or error, which they may discover upon examination of a solicitation document.

TERMINATION OF CONTRACT: Unless otherwise stated, the Department may, by written notice to the contractor, terminate the contract in whole or in part at any time the contractor fails to perform the contract.

UNAVAILABILITY OF FUNDING: The Department, at its sole discretion, may terminate or reduce the scope of the contract if available funding is reduced for any reason.

U.S. FUNDS: All prices and payments must be in U.S. dollars.

APPENDIX B: PROPOSAL COMPLIANCE CHECKLIST

NOTICE TO VENDOR: *The following checklist must be used to verify completeness of Proposal content.*

Vendor Name _____

Procurement Officer _____

Review Date _____

*Proposals for which **ALL** applicable items are marked by the Procurement Officer are determined to be compliant for responsive proposals. Proposals that have applicable items that are **not** marked may be subject to point deductions or may be deemed non-responsive and no further consideration will be given.*

<input checked="" type="checkbox"/> IF CORRECT	MANDATORY AND BASIC PROPOSAL REQUIREMENTS
<input type="checkbox"/>	1. Physical Format and Section Content conforms to Requirements
<input type="checkbox"/>	2. Vendor proposal received on time at correct location.
<input type="checkbox"/>	3. The original and required number of proposal copies received.
<input type="checkbox"/>	4. Electronic versions of the proposal submitted on separate properly labeled disks.
<input type="checkbox"/>	5. The proposal includes a completed and signed Proposal Cover Sheet.
<input type="checkbox"/>	6. The proposal is a complete and independent document, with no references to external documents or resources.
<input type="checkbox"/>	7. Each proposal section is structured and labeled with the associated section and subsection of this RFP.
<input type="checkbox"/>	8. The proposal includes required supporting documentation of financial stability.
<input type="checkbox"/>	9. The proposal includes 3 client references (with all identifying information in specified format and order).
<input type="checkbox"/>	10. The proposal includes a list of all State of Alabama contracts over the last 3 years (with all identifying information in specified format and order).
<input type="checkbox"/>	11. The proposal includes a detailed description of the proposed service delivery approach for the <i>Case Aide Services</i> .
<input type="checkbox"/>	12. The proposal includes a detailed description that addresses each item of Section 4 of the RFP.
<input type="checkbox"/>	13. The proposal includes a completed copy of the Taxpayer Identification Number Form.
<input type="checkbox"/>	14. The proposal includes a completed Disclosure Statement Form.

APPENDIX D: TRADE SECRET AFFIDAVIT

**Alabama Department of Human Resources
AFFIDAVIT FOR TRADE SECRET CONFIDENTIALITY**

DEPARTMENT OF _____)

)ss.

County of _____)

_____ (Affiant), being first duly sworn under oath, and representing
_____ (hereafter "Vendor"), hereby deposes and says that:

1. I am an attorney licensed to practice in the State of _____, representing the Vendor referenced in this matter, and have full authority from the Vendor to submit this affidavit and accept the responsibilities stated herein.

2. I am aware that the Vendor is submitting a proposal to the Alabama Department of Human Resources for RFP # _____. Public agencies in Alabama are required by Alabama law to permit the public to examine documents that are kept or maintained by the public agencies, other than those legitimately meeting the provisions of the Alabama Trade Secrets Act, Alabama Code Section 8-27-1, and that the Department is required to review claims of trade secret confidentiality.

3. I have read and am familiar with the provisions of the Alabama Trade Secrets Act, am familiar with the case law interpreting it, and understand that all information received in response to this RFP will be available for public examination except for:

- (a) trade secrets meeting the requirements of the Act; and
- (b) information requested by the Department to establish vendor responsibility unless prior written consent has been given by the vendor.

4. I am aware that in order for the Vendor to claim confidential material, this affidavit must be fully completed and submitted to the Department, and the following conditions must be met by the Vendor:

- (a) information to be withheld under a claim of confidentiality must be clearly marked and separated from the rest of the proposal;
- (b) the proposal may not contain trade secret matter in the cost or price; and
- (c) the Vendor's explanation of the validity of this trade secret claim is attached to this affidavit.

5. I and the Vendor accept that, should the Department determine that the explanation is incomplete, inadequate or invalid, the submitted materials will be treated as any other document in the department's possession, insofar as its examination as a public record is concerned. I and the Vendor are solely responsible for the adequacy and sufficiency of the explanation. Once a proposal is opened, its contents cannot be returned to the Vendor if the Vendor disagrees with the Department's determination of the issue of trade secret confidentiality.

6. I, on behalf of the Vendor, warrant that the Vendor will be solely responsible for all legal costs and fees associated with any defense by the Department of the Vendor's claim for trade secret protection in the event of an open records request from another party which the Vendor chooses to oppose. The Vendor will either totally assume all responsibility for the opposition of the request, and all liability and costs of any such defense, thereby defending, protecting, indemnifying and saving harmless the Department, or the Vendor will immediately withdraw its opposition to the open records request and permit the Department to release the documents for examination. The Department will inform the Vendor in writing of any open records request that is made, and the Vendor will have five working days

from receipt of the notice to notify the Department in writing whether the Vendor opposes the request or not. Failure to provide that notice in writing will waive the claim of trade secret confidentiality, and allow the Department to treat the documents as a public record.

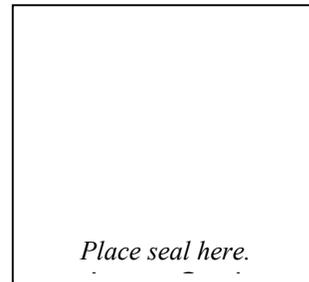
Documents that, in the opinion of the Department, do not meet all the requirements of the above will be available for public inspection, including any copyrighted materials.

Signed and sworn to before me on _____ (date) by _____
_____ (Affiant's name).

Name of Notary Public: _____ for the

Department of: _____

My Commission Expires: _____



APPENDIX E: PRICE SHEETS

COST REIMBURSEMENT BUDGET FORMS					
Contract Number:				Taxpayer ID#:	
Agency:					
Address:					
Project Title:					
Budget Period:		to		Fiscal Year:	
BUDGET ITEMS				TOTAL COST	
1. PERSONNEL					
2. SUBCONTRACTS					
3. TRAVEL					
4. SPACE					
5. SUPPLIES					
6. EQUIPMENT					
7. OTHER					
8. TOTAL PROJECT FUNDING <i>(sum lines 1 through 7)</i>					
9. Local Share <i>(Itemize the sources and amounts under COMMENTS below)</i>					
10. Other Federal Share <i>(Itemize the sources and amounts under COMMENTS below)</i>					
11. MAXIMUM DHR SHARE <i>(line 8 minus lines 9 and 10)</i>				>>>>>>>>	
12. PERCENT DHR SHARE OF TOTAL PROJECT FUNDING <i>(Line 11 divided by line 8)</i>					
COMMENTS <i>(In addition to itemizing the sources and amounts of local and other non-DHR funding, include, as applicable, a brief description of the nature of each income-generating activity planned):</i>					

TOTAL SUBCONTRACTS:					
Contract Number:		Fiscal Year:			
3. TRAVEL: <i>All out-of-state travel requires the Department's prior written approval.</i>					
In-state					
Out-of-state					
TOTAL TRAVEL:					
4. SPACE: <i>All repairs to facilities, regardless of the cost, require the Department's prior written approval.</i>					
Telephone					
Rent/Lease					
Use Allowance <i>(requires an FM-05 "USE ALLOWANCE – SPACE" form)</i>					
Utilities					
Upkeep <i>(buildings/grounds)</i>					
Other <i>(specify)</i>					
TOTAL SPACE:					
5. SUPPLIES: <i>Competitive bids may apply.</i>					
Office Supplies					
Custodial Supplies					
Other <i>(itemize and be specific -- attach a separate listing if needed)</i>					
TOTAL SUPPLIES:					
6. EQUIPMENT: <i>Itemize (attach a separate listing if needed).</i>					
Rental/Lease					
Use Allowance <i>(requires FM-06 "USE ALLOWANCE – EQUIPMENT" form)</i>					
Depreciation <i>(supporting documentation required -- see instructions)</i>					
Repairs					
Other <i>(specify)</i>					
TOTAL EQUIPMENT:					

7. OTHER					
Liability Insurance					
Vehicle Maintenance, such as gas, oil, etc.					
Printing					
Indirect Cost (<i>rate must be approved by the Department</i>)					
Other (<i>specify</i>)					
TOTAL OTHER:					